NETMOD Working Group Internet-Draft

Intended status: Informational Expires: March 11, 2016

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# Guidelines for Translation of UML Information Model to YANG Data Model draft-mansfield-netmod-uml-to-yang-01

#### **Abstract**

This document defines guidelines for translation of data modeled with UML to YANG including mapping of object classes, attributes, data types, associations, interfaces, operations and operation parameters, notifications, and lifecycle.

# Status of this Memo

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#### **Table of Contents**

- 1. Introduction
- 2. Terminology
- 3. Overview
- 4. Mapping Guidelines
  - 4.1 Mapping Guideline Considerations
  - 4.2 Mapping of Object Classes
  - 4.3 Mapping of Attributes
  - 4.4 Mapping of Types
    - 4.4.1 Mapping of Primitive Types
    - 4.4.2 Mapping of Enumeration Types
    - 4.4.3 Mapping of Basic Data Types
    - 4.4.4 Mapping of Complex Data Types
  - 4.5 Mapping of Associations
  - 4.6 Mapping of Interfaces
  - 4.7 Mapping of Operations
  - 4.8 Mapping of Operation Parameters
  - 4.9 Mapping of Notifications
  - 4.10 Mapping of Lifecycle
  - 4.11 Other Mappings

#### 5. Mapping Issues

- 5.1 Using types defined in YANG
- 5.2 YANG 1.0 or YANG 1.1
- 5.3 Mapping of UML Packages

#### 6. Mapping Patterns

- 6.1 UML Recursion
- 6.2 UML Conditional Pacs
- 6.3 XOR Relationship
- 6.4 Mapping of UML Support and Condition

#### 7. Mapping Basics

- 7.1 UML-YANG or XMI-YANG
- 8. Acknowledgements
- 9. IANA Considerations
- 10. Security Considerations
- 11. Informative References
- A. Example

#### **Authors' Addresses**

#### **Figures**

- Figure 1: Mapping of Object Classes
- Figure 2: Example of Abstract Object Class Mapping (Available in PDF or HTML versions)
- Figure 3: Example of Non-Abstract Object Class Mapping (Available in PDF or HTML versions)
- Figure 4: Mapping of Attributes
- Figure 5: Example of Attribute Mapping (Available in PDF or HTML versions)
- Figure 6: Mapping of Types
- Figure 7: Mapping of Primitive Types
- Figure 8: Mapping of Enumeration Types
- Figure 9: Example of Enumeration Type Mapping (Available in PDF or HTML versions)
- Figure 10: Mapping of Basic Data Types
- Figure 11: Example of Basic Data Type Mapping (Available in PDF or HTML versions)
- Figure 12: Mapping of Complex Data Types
- Figure 13: Example of Complex Data Type Mapping (Available in PDF or HTML versions)
- Figure 14: Mapping of Associations
- Figure 15: Association Mapping Example 1 (Available in PDF or HTML versions)
- Figure 16: Association Mapping Example 2 (Available in PDF or HTML versions)
- Figure 17: Association Mapping Example 3 (Available in PDF or HTML versions)
- Figure 18: Mapping of Interfaces (grouping of operations
- Figure 19: Mapping of Operations
- Figure 20: Operation Mapping Example (Available in PDF or HTML versions)
- Figure 21: Mapping of Operation Parameters
- Figure 22: Parameter Mapping Example (Available in PDF or HTML versions)
- Figure 23: Mapping of Notifications
- Figure 24: Notification Mapping Example (Available in PDF or HTML versions)
- Figure 25: Mapping of Lifecycle
- Figure 26: Other Mappings
- Figure 27: Re-engineered Example
- Figure 28: IP Address Mapping Examples (Available in PDF or HTML versions)
- Figure 29: Reference Based Approach (Available in PDF or HTML versions)
- Figure 30: Specific Number of Recusions (Available in PDF or HTML versions)

- Figure 31: Mapping of Conditional Packages (Available in PDF or HTML versions)
  Figure 32: Support and Condition Mapping Example (Available in PDF or HTML versions)
- Figure 33: Example UML to YANG Mapping (Available in PDF or HTML versions)
  Figure 34: Example XMI (Papyrus) to YANG Mapping (Available in PDF or HTML versions)
  Figure 35: Interfaces Tree (Available in PDF or HTML versions)
- Figure 36: Notifications Tree (Available in PDF or HTML versions)
- Figure 37: Interfaces UML Model (Available in PDF or HTML versions)

#### 1. Introduction

As discussed in draft-lam-teas-usage-info-model-net-topology [6] a Data Model (DM) may be derived from an Information Model (IM). However, in order to assure a consistent and valid data modelling language representation that enables maximum interoperability, translation guidelines are required. A set of translation rules also assists in development of automated tooling.

This draft defines guidelines for translation of data modelled with UML [7] (as constrained by the ONF's UML Modeling Guidelines [8]) to YANG (defined in RFC6020 [1] and YANG Update [4]) including mapping of object classes, attributes, data types, associations, interfaces, operations and operation parameters, notifications, and lifecycle.

# 2. Terminology

The following terms are defined in RFC6020 [1]

- anydata
- anyxml
- augment
- container
- data node
- identity
- instance identifier
- leaf
- leaf-list
- list
- module
- submodule

The following terms are defined in UML 2.4 [7]

- association
- attribute
- · data type
- interface
- object class
- operation
- parameter
- signal (used to model notifications)

# 3. Overview

This document defines translation rules for all constructs used in a UML based IM to a data model using YANG.

While some mapping rules are straightforward, an IM in UML uses some constructs that cannot be mapped directly to a DM using YANG and conventions are described to make the translation predictable. Additionally, in some cases multiple mapping approaches are possible and selection among these is also necessary to assure interoperability.

Mapping guidelines for these constructs are provided in the following sections.

# 4. Mapping Guidelines

# 4.1 Mapping Guideline Considerations

Where "??" is inserted in the table, it means that the specific mapping is for further study as it is either as yet unclear how to map the construct or that there are multiple ways of doing the mapping and a single one needs to be selected.

A table will be included summarizing constructs in UML that do not directly map to YANG and where in this draft the associated guidelines for mapping these constructs will be provided.

# 4.2 Mapping of Object Classes

Object Class>	Object Class> "list" statement (key property, multiple instances) or "container" statement (single instance) or "grouping" statement	
UML Artifact	YANG Artifact	Comment
documentation	"description"   substatement	
	abstract: "grouping"  not abstract:"augment"?	 
abstract	"grouping" statement	l į
objectCreationNotific-   ation   [YES/NO/NA]   	"notification"   statement    -  -  -  -	Goes beyond the simple "a notific- ation has to be sent": a tool can construct the sig- ature of the noti- cation by reading the created object.
objectDeletionNotific- ation [YES/NO/NA]	"notification"   statement   	Goes beyond the simple "a notific- ation has to be sent": a tool can construct the sig- ature of the notication by reading the deleted object. (i.e. not necessary to provide the attributes of the deleted object.
support   	"if-feature"   substatement +           	Support and condition belong together. If the "support" is cond- itional, then the "condition" explains the cond- itions under which the class has to be supported.
operation	"action"   substatement   	YANG 1.0 supports   only rpc -> add   prefix to the rpc   name; i.e.   objectClass::rpc;   "action" requires   YANG 1.1
XOR	"choice"   substatement	   
constraints between attribute values -> error notfication??	"must" substatement     	
object identifier	list::"key"   substatement	     
muliplicity on   association   	list::"min-elements"   "max-elements"   substatements 	min-elements   default = 0   max-elements   default=unbounded   mandatory   default=false
Conditional PACs	container::presence"   substatement	
hyperlink?? 	"reference"   substatement	Papyrus doesn't     support hyperlinks

+		+
lifecycle stereotypes      -	"status"   substatement 	"current"     "deprecated"     "obsolete"     default="current"
constraint property	list::"unique"   substatement	
complex attribute	"uses" substatement     	use of a complex   data type as the   type of the   attribute; e.g.,   date and time,   object creation   data
{ <constraint>}</constraint>	"when" substatement	   <del>-</del>

Figure 1: Mapping of Object Classes

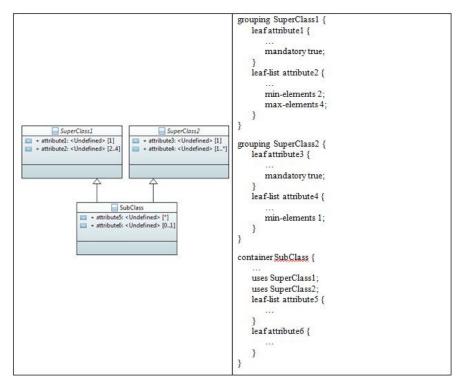
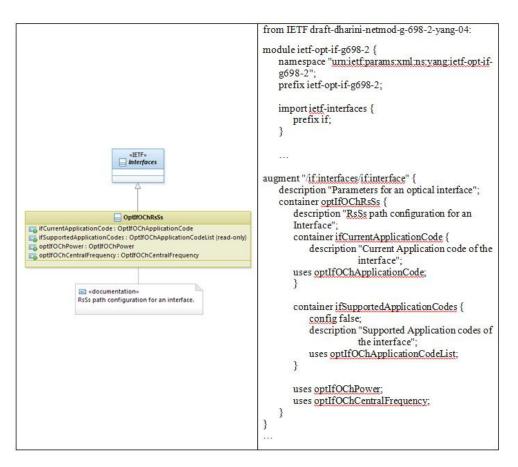


Figure 2: Example of Abstract Object Class Mapping (Available in PDF or HTML versions)



Figure~3: Example~of~Non-Abstract~Object~Class~Mapping~(Available~in~PDF~or~HTML~versions)

# 4.3 Mapping of Attributes

Attribute> "leaf" (single) or "leaf list" (multiple)   statement		
+   UML Artifact	YANG Artifact	Comment
+   documentation 	"description"     substatement	
type	"type" substatement     (built-in or derived)	
readOnly	"config" substatement     (false)	config   default = true
isOrdered   	"ordered-by"   substatement  ("system" or "user")	ordered-by   default = system   
multiplicity	<pre>"mandatory" or "min-elements" and "max-elements" substatements [01]=&gt;no mapping needed; is default substatement=false [1]=&gt;mandatory substatement=true [0x]=&gt; no mapping needed; is default [1x]=&gt; min-elements substatement = 1 [03]=&gt; max-elements substatement = 3</pre>	min-elements  default = 0  max-elements  default=unbounded  mandatory  default=false
defaultValue    -  -  -	"default"     substatement   	If a default value exists and it is the desired value, the parameter does not have to be explicitly config- ured by the user.
isInvariant   	"extension"   substatement ->   ompExt:isInvariant	
valueRange   	"range" or "length"     substatement of "type"    substatement	
passedByReference	if passedByReference =   true -> type leafref {   path "/ <object>/   <objectidentifier>"}                                      </objectidentifier></object>	attributes that   have an object
support 	"if-feature"     substatement	Support and   condition belong
condition	-           	together. If the support is conditional, then the condition explains the conditions under which the class has to supported
į	l l	be supported.
error notfication??	   "must"     substatement	be supported.
:	:	Papyrus doesn't   support hyperlinks

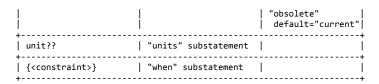


Figure 4: Mapping of Attributes

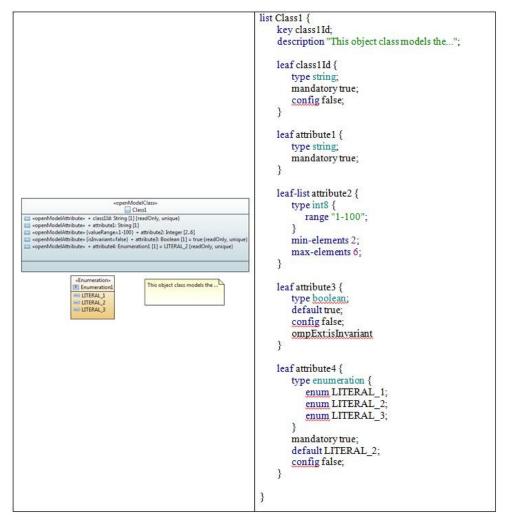


Figure 5: Example of Attribute Mapping (Available in PDF or HTML versions)

#### 4.4 Mapping of Types

+		+
<u> </u>	Types	<u> </u>
UML Artifact	YANG Artifact	Comment
Primitive Type	Built-In Type if   defined; otherwise ??	
Enumeration	"enum" statement	Ī
Basic Data Type	"typeDef" statement 	e.g., MAC address, IPv4 Address
Complex Data Type	"grouping" statement   	e.g., date-time     object creation     data

Figure 6: Mapping of Types

Note: YANG allows also in-line enumerations which are not possible in UML

#### 4.4.1 Mapping of Primitive Types

F	Primitive Type -> new built	-in type??	
UML Artifact	YANG Artifact	Comment	į
documentation	??	l	

Figure 7: Mapping of Primitive Types

#### 4.4.2 Mapping of Enumeration Types

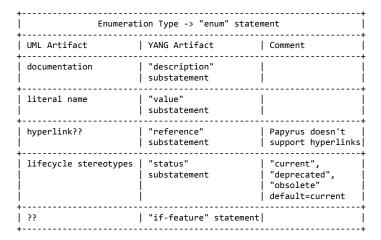


Figure 8: Mapping of Enumeration Types

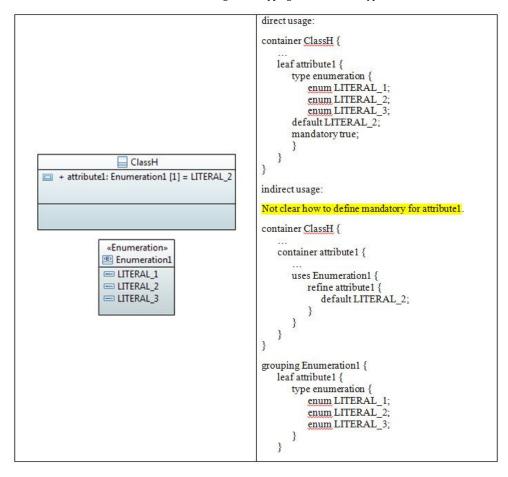


Figure 9: Example of Enumeration Type Mapping (Available in PDF or HTML versions)

# 4.4.3 Mapping of Basic Data Types

+		
UML Artifact	YANG Artifact	Comment
documentation	"description"   substatement	
type	"type" substatement   (built-in type)	
defaultValue	"default"   substatement     	If a default value   exists and it is   the desired value,   the parameter does   not have to be   explicitly config-   ured by the user.
hyperlink??	"reference"   substatement	Papyrus doesn't     support hyperlinks
lifecycle stereotypes	"status"   substatement 	"current",     "deprecated",     "obsolete"     default=current
unit??	"units" statement	 

Figure 10: Mapping of Basic Data Types

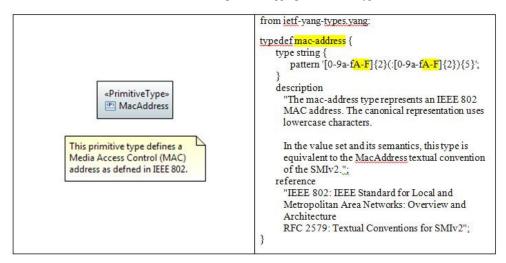


Figure 11: Example of Basic Data Type Mapping (Available in PDF or HTML versions)

# 4.4.4 Mapping of Complex Data Types

+		+
Complex	Data Type -> "grouping"	statement
UML Artifact	YANG Artifact	Comment
documentation	"description"   substatement	
not used	"action" substatement	Ī
XOR	"choice"   substatement	
hyperlink??	"reference"   substatement	Papyrus doesn't     support hyperlinks
lifecycle stereotypes	"status"   substatement 	"current",     "deprecated",     "obsolete"     default=current
complex attribute	"uses" statement	

Figure 12: Mapping of Complex Data Types

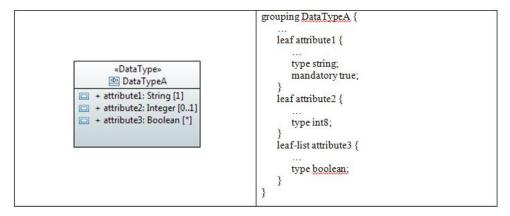


Figure 13: Example of Complex Data Type Mapping (Available in PDF or HTML versions)

# 4.5 Mapping of Associations

	Associations	 
UML Artifact	YANG Artifact	Comment
Inheritance           	"grouping" or "augment" statement	Multiple inheritance can also be mapped using "groupings" Need to define when augment is used. Note: Augmentation can be conditional.
Composition with   "passed by value" 	"container" statement containing "list" statement(s) (multiple contained instances) or "container" statement(s) (single contained instances)	How to map "passed by reference"??   
Aggregation with "passed by reference"	"leafref" statement	How to map "passed  by value"??

Figure 14: Mapping of Associations

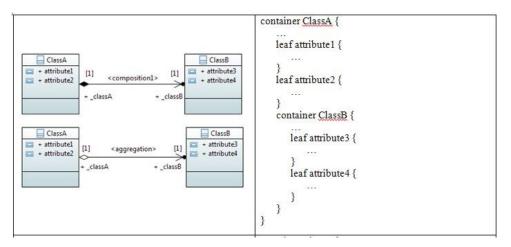


Figure 15: Association Mapping Example 1 (Available in PDF or HTML versions)

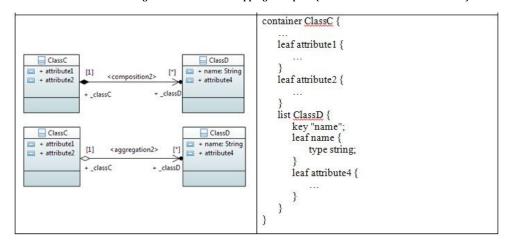


Figure 16: Association Mapping Example 2 (Available in PDF or HTML versions)

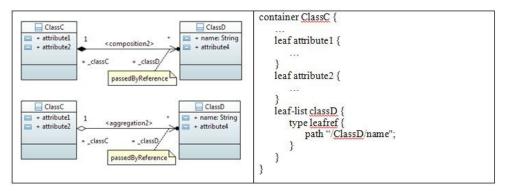


Figure 17: Association Mapping Example 3 (Available in PDF or HTML versions)

### 4.6 Mapping of Interfaces

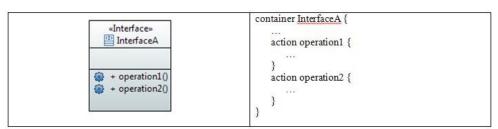
+		+
UML Interface -> Container??		
documentation	"description"   substatement	
abstract	??	l İ
support	"if-feature"   substatement	Support and
condition	         	"support" is cond- itional, then the "condition" explains the cond- itions under which the class has to be supported.

Figure 18: Mapping of Interfaces (grouping of operations

# 4.7 Mapping of Operations

(RFC 6020: The different	-> "action" and "rpc" st ence between an action a de in the data tree, whe le level.)	nd an rpc is that an
documentation	"description"   substatement	   
pre-condition	"extension"   substatement ->   ompExt:preCondition 	RFC 6020; During the NETCONF <edit-config> pro- cessing errors are already sent for: - Delete requests for non-existent data Create requests for existing data Insert requests with "before" or "after" para- meters that do not exist.</edit-config>
post-condition	"extension"   substatement   ompExt:postCondition	     
input parameter	"input" substatement	 
output parameter	"output" substatement	I
operation exceptions	"extension"   substatement   ompExt:operationExcept	     ions
isOperationIdempotent	"extension"   substatement   ompExt:isOperationIdem	     potent
isAtomic	"extension"   substatement   ompExt:isAtomic	Necessary?? Not in UML Guidelines (TR-514); needs to be added??
support   support	"if-feature"   substatement	Support and   condition belong   together. If the
condition		"support" is cond- itional, then the "condition" explains the cond- itions under which the class has to be supported.
hyperlink??	"reference"   substatement	Papyrus doesn't   support hyperlinks
lifecycle stereotypes	"status"   substatement   	"current",   "deprecated",   "obsolete"   default=current

Figure 19: Mapping of Operations



 $Figure\ 20: Operation\ Mapping\ Example\ (Available\ in\ PDF\ or\ HTML\ versions)$ 

# 4.8 Mapping of Operation Parameters

Operation Parameters			
documentation	"description" substatement		
direction	"input" or "output" substatement		
type 	see mapping of attribute types	 	
isOrdered	(grouping, leaf, leaf-list, list,	 	
multiplicity	typedef, uses)	 	
defaultValue		 	
valueRange		 	
passedByReference	<pre>if passedByReference = true -&gt; type leafref { path "/<object>/ <objectidentifier>"}  if passedByReference = false -&gt; either "list" statement (key property, multiple</objectidentifier></object></pre>		
	instances) or "container" statement( single instance)		
support	"if-feature"   substatement not  - defined for input and	Support and     condition belong     together. If the	
condition	output substatements in YANG??	"support" is cond- itional, then the "condition" explains the cond- itions under which the class has to be supported.	
XOR	"choice" substatement		
error notification??	"must" substatement		
complex parameter	"uses" substatement		

Figure 21: Mapping of Operation Parameters

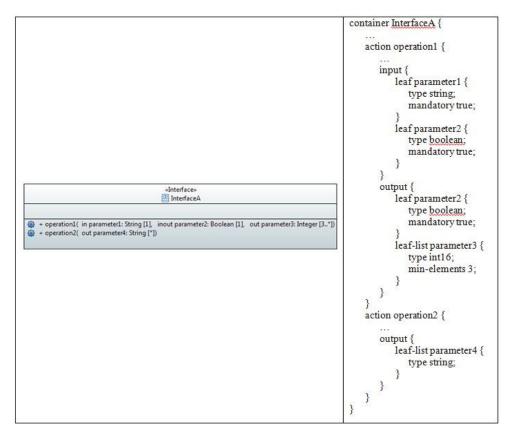


Figure 22: Parameter Mapping Example (Available in PDF or HTML versions)

# 4.9 Mapping of Notifications

+		
documentation	"description" substatement	
support 	"if-feature" substatement -	Support and condition belong together. If the "support" is conditional, then the "condition" explains the conditions under which the class has to be supported.
XOR	"choice" substatement	
error notification??	"must" substatement	
hyperlink??	"reference" substatement	Papyrus doesn't     support hyperlinks
lifecycle stereotypes       	"status" substatement	"current", "deprecated", "obsolete"   default=current
attributes	see mapping of attribute types (grouping, leaf, leaf-list, container, list, typedef, uses)	
complex attribute	"uses" substatement	

Figure 23: Mapping of Notifications

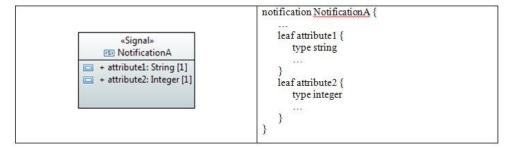


Figure 24: Notification Mapping Example (Available in PDF or HTML versions)

# 4.10 Mapping of Lifecycle

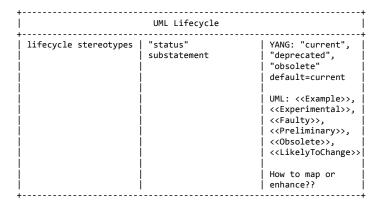


Figure 25: Mapping of Lifecycle

# 4.11 Other Mappings

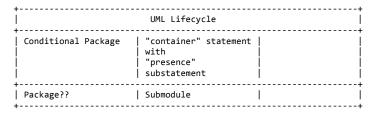


Figure 26: Other Mappings

# 5. Mapping Issues

#### 5.1 Using types defined in YANG

Many common types (primitive and complex) are already defined in YANG. E.g., ietf-inet-types, ietf-yang-types (others to be investigated):

```
ietf-inet-types
 - domain name and URI types
                                                 - ietf-yang-types
   - DomainName
                                                  - Counter32
                                                   Counter64
   - <<Union>> Host
                                                   DateAndTime
 - types related to IP addresses and hostnames
                                                 - DottedQuad
    - Ip4Address
                                                   Gauge32
   - Ipv4AddressNoZone
                                                   Gauge64
   - Inv4Prefix
                                                   HexString
   - Ipv6Address
                                                  - MacAddress
   - Ipv6AddressNoZone
                                                  - ObjectIdentifier
   - Ipv6Prefix
                                                 - ObjectIdentifier128
   - <<Union>> IpAddress
                                                 - PhysAddress
   - <<Union>> IpAddressNoZone
                                                  - Timestamp
   - <<Union>> IpPrefix
                                                  - Timeticks
 - types related to protocol fields
                                                 - Uuid
   - IpVersion
                                                   Xpath1.0
   - DSCP
                                                   YangIdentifier
   - IpV6FlowLabel
                                                   ZeroBasedCounter32
   - PortNumber
                                                   ZeroBasedCounter64
```

Figure 27: Re-engineered Example

It is proposed to define for the commonly used YANG types corresponding UML primitive or complex data types respectively. These types will be available (by default) for use in all UML information models. This "re-engineering" needs to be done without making the UML models YANG-depended.

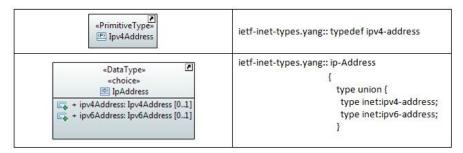


Figure 28: IP Address Mapping Examples (Available in PDF or HTML versions)

#### 5.2 YANG 1.0 or YANG 1.1

YANG 1.0 is approved and defined in RFC6020 [1].

YANG 1.1 is not currently approved and its definition is ongoing in draft-ietf-netmod-rfc6020bis [4]. Main enhancements are the action and anydata statements.

#### 5.3 Mapping of UML Packages

Need to define mapping rules for UML package into YANG modules or the new draft YANG package statement (draft-bierman-netmod-yang-package [3])?

# 6. Mapping Patterns

#### 6.1 UML Recursion

As YANG defines hierarchical data store, any instances that need to store recursive containment will require translation. A mapping between object-oriented store and a hierarchical store is possible; however, there is more than one option:

- Reference Based Approach have a flat list of objects, where the objects are linked into a hierarchy using references. An example of a two-way navigable approach is in RFC7223 [2]. See example in Figure 29 below.
- Assume some specific number of "recursions"; i.e., specify some default number of recursion levels, and define a configurable parameter to allow changing the number of levels. See example in Figure 30 below, in which there is one level of recursion.

```
list object {
    key name;
    leaf name {
        type string;
    }

leaf-list object-within-object {
        type leafref {
            path "/object/name";
        }
    }
}
```

Figure 29: Reference Based Approach (Available in PDF or HTML versions)

```
Example from IETF RFC 7223 (https://datatracker.ietf.org/doc/rfc7223/)
                                     rw interfaces
                                        rw interface* [name]
                                        +--rw name
+--rw description?
                                                                                    string
                                                                                    string
                                         +--rw type
                                                                                     dentityref
                                        +--rw enabled?
+--rw link-up-down-trap-enable?
                                                                                    Boolean
                                                                                    enumeration
                                     ro interfaces-state
                                     +--ro interface* [name]
+--ro name
                                                                        string
                                         +--ro higher-layer-if*
                                                                        interface-state-ref
+ name: String [1]
description: String [0.1]
type: < Undefined> [1]
enabled: Boolean [0.1] = true
hinkUpDownTrapEnable: Boolean [0.1]
                                         +--ro lower-layer-if*
                                                                        interface-state-ref
                                 where
                                   typedef interface-state-ref {
                                      type leafref {
  path "/if:interfaces-state/if:interface/if:name";
                                         "This type is used by data models that need to
      [*] ¥ + _lowerLayerIf

InterfaceState
                                          reference the operationally present interfaces.";
     name: String [1]

- ...: < Undefined> [1]
  [1]
                                   leaf-list higher-layer-if {
                                      type interface-state-ref;
                                      description
       + _higherLayerIf / [*]
                                         "A list of references to interfaces layered on top
                                          of this interface.";
                                      reference
                                         "RFC 2863: The Interfaces Group MIB -
                                          fStackTable";
                                   leaf-list lower-layer-if {
                                      type interface-state-ref;
                                      description
                                        "A list of references to interfaces layered
                                          underneath this interface.";
                                      reference
                                         "RFC 2863: The Interfaces Group MIB -
                                          ifStackTable";
```

Figure 30: Specific Number of Recusions (Available in PDF or HTML versions)

The reference-based approach is generally preferred because there is no arbitrary limitation set in the solution.

#### 6.2 UML Conditional Pacs

May use the "presence" property of the container statement?

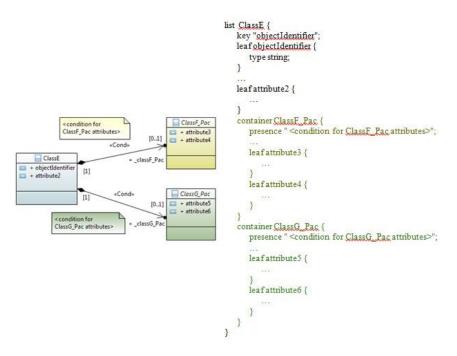


Figure 31: Mapping of Conditional Packages (Available in PDF or HTML versions)

#### 6.3 XOR Relationship

Use the "choice" property of the container statement.

#### 6.4 Mapping of UML Support and Condition

The UML Modeling Guidelines [8] define support and condition for all UML artifacts (M - Mandatory, O - Optional, C - Conditional, CM - Conditional-Mandatory, CO - Conditional-Optional). Support qualifies the support of the artifact at the management interface. Condition contains the condition for the condition-related support qualifiers.

- M Mandatory maps to the "mandatory" substatement in choice and leaf or to the "min-elements" substatement in leaf-list and list.
- O Optional need not be mapped since the per default the "mandatory" and "min-elements" substaments define optional.

All conditional UML support qualifiers are mapped to the "if-feature" substatement.

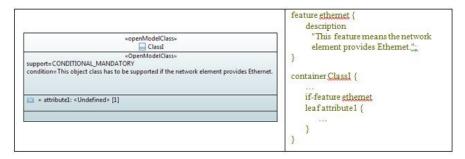


Figure 32: Support and Condition Mapping Example (Available in PDF or HTML versions)

# 7. Mapping Basics

#### 7.1 UML-YANG or XMI-YANG

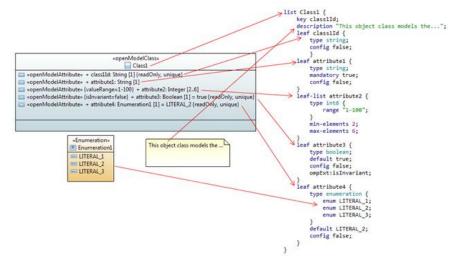


Figure 33: Example UML to YANG Mapping (Available in PDF or HTML versions)

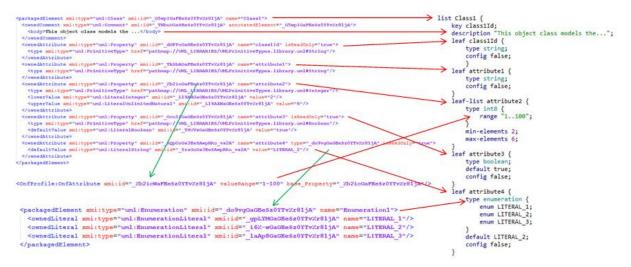


Figure 34: Example XMI (Papyrus) to YANG Mapping (Available in PDF or HTML versions)

8. Acknowledgements

# 9. IANA Considerations

This memo includes no request to IANA.

# 10. Security Considerations

This document defines guidelines for translation of data modeled with UML to YANG. As such, it doesn't contribute any new security issues beyond those discussed in Sec. 16 of RFC6020 [1].

#### 11. Informative References

- [1] Bjorklund, M., Ed., "YANG A Data Modeling Language for the Network Configuration Protocol (NETCONF)", RFC 6020, DOI 10.17487/RFC6020, October 2010, <a href="http://www.rfc-editor.org/info/rfc6020">http://www.rfc-editor.org/info/rfc6020</a>.
- [2] Bjorklund, M., "A YANG Data Model for Interface Management", RFC 7223, DOI 10.17487/RFC7223, May 2014, <a href="http://www.rfc-editor.org/info/rfc7223">http://www.rfc-editor.org/info/rfc7223</a>.
- [3] Bierman, A., "The YANG Package Statement", Internet-Draft draft-bierman-netmod-yang-package-00 (work in progress), July 2015.
- [4] Bjorklund, M., "YANG A Data Modeling Language for the Network Configuration Protocol (NETCONF)", Internet-Draft draft-ietf-netmod-rfc6020bis-06 (work in progress), July 2015.
- [5] Galimberti, G., Kunze, R., Lam, H., Hiremagalur, D., Grammel, G., Fang, L., and G. Ratterree, "A YANG model to manage the optical interface parameters of "G.698.2 single channel" in DWDM applications", Internet-Draft draft-dharini-netmod-g-698-2-yang-04 (work in progress), July 2015.
- [6] Lam, H., Varma, E., Doolan, P., Davis, N., Zeuner, B., Betts, M., Busi, I., and S. Mansfield, "Usage of IM for network topology to support TE Topology YANG Module Development", Internet-Draft draft-lam-teas-usage-info-model-net-topology-01 (work in progress), July 2015.
- [7] OMG, "Unified Modeling Language (UML)", 2011, <a href="http://www.omg.org/spec/UML/2.4/">http://www.omg.org/spec/UML/2.4/</a>.
- [8] OMG, "ONF TR-514 v1.0 UML Modeling Guidelines", 2015, <a href="https://www.opennetworking.org/images/stories/downloads/sdn-resources/technical-reports/UML\_Modeling\_Guidelines\_V1.0.pdf">https://www.opennetworking.org/images/stories/downloads/sdn-resources/technical-reports/UML\_Modeling\_Guidelines\_V1.0.pdf</a>.

#### A. Example

The YANG data schema (in tree format) shown below was extracted from dharini-netmod-g-698-2-yang [5] and represents the same data as UML model appearing in Figure 37 after the tree format. Note: The color code used in the tree format corresponds to the color code used in the UML class diagram.

```
augment /if:interfaces/if:interface:
   +--rw optIfOChRsSs
         +--rw ifCurrentApplicationCode
         | +--rw applicationCodeId? uint8
         | +--rw applicationCode? string
         +--rw ifCurrentVendorTransceiverClass
         +--rw vendorTransceiverClassId? uint8
         +--rw vendorTransceiverClass? string
         +--ro ifSupportedApplicationCodes
         +--ro_numberApplicationCodesSupported? uint32
         +--ro applicationCodesList* [applicationCodeId]
              +--ro applicationCodeId uint8
              +--ro applicationCode? string
         +--ro ifSupportedVendorTransceiverClass
         +--ro_numberVendorTransceiverClassSupported? uint32
         +--ro vendorTransceiverClassList* [vendorTransceiverClassId]
              +--ro vendorTransceiverClassId uint8
              +--ro_vendorTransceiverClass? string
         +--rw outputPower?
         +--ro inputPower?
                                             int32
                                             uint32
         +--rw wavelengthn?
```

Figure 35: Interfaces Tree (Available in PDF or HTML versions)

#### notifications:

```
+---n optIfOChWavelengthChange
| +--ro if-name?
                     leafref
+--ro wavelength
     +--ro wavelength? uint32
+---n optIfOChApplicationCodeChange
| +--ro if-name?
                            leafref
+--ro newApplicationCode
     +--ro applicationCodeId? uint8
     +--ro applicationCode? string
+---n optIfOChVendorTransceiverCodeChange
  +--ro if-name?
                                   leafref
   +--ro newVendorTransceiverClass
     +--ro vendorTransceiverClassId? uint8
                                   string
     +--ro vendorTransceiverClass?
```

Figure 36: Notifications Tree (Available in PDF or HTML versions)

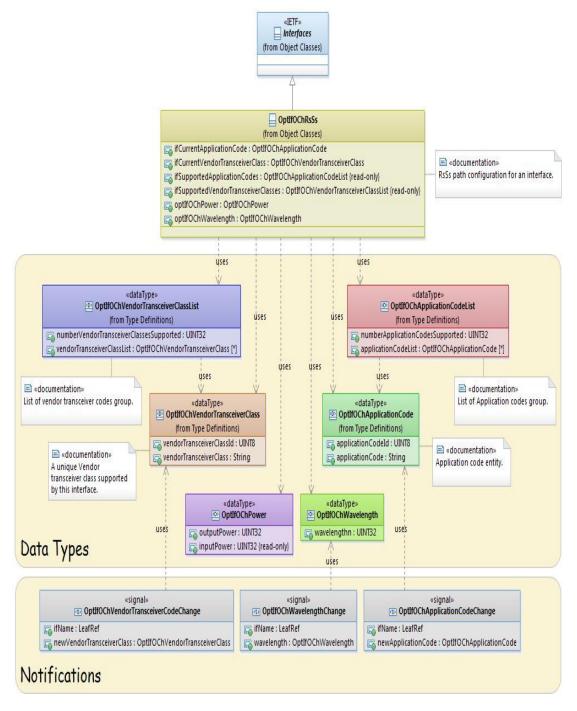


Figure 37: Interfaces UML Model (Available in PDF or HTML versions)

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