Abstract

This document specifies a method for Safely Turning Authentication Credentials Into Entropy (STACIE) using an efficient Zero Knowledge Password Proof (ZKPP), and is provided as a standalone component suitable for use as a building block in other protocol development efforts. The scheme was created to fill the emerging need for a standard which allows a single low entropy password to be used for user authentication and the derivation of strong encryption keys. The design is modular, and is conservative in its use of an arbitrary one-way cryptographic hash function. The security of the scheme depends on the difficulty associated with reversing the hash function output back into the plain text input. STACIE attempts to make discovering the plain text input through the use of brute force more difficult by correlating the amount of processing to the length of a user's plain text password. The shorter the plain text password, the more processing is required, with the amount of additional, artificially required, work scaling exponentially for each character.

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1. Introduction

A number of emerging client/server protocols are currently being developed which rely on endpoint encryption schemes for protection against server compromises and pervasive surveillance efforts. All of these protocols share a common need for the ability to authenticate users based on their account password, without having to share a plain text password with the server. While several proposals have emerged which rely on a Zero Knowledge Password Proof (ZKPP), none of them provide a standardized method for deriving a symmetric encryption key suitable for use with Authenticated Encryption with Associated Data (AEAD) ciphers using the same user password.

This specification describes a standalone scheme which solves these problems by Safely Turning Authentication Credentials Into Entropy (STACIE). Unlike previous efforts, STACIE can uniquely provide a configurable level of resistance against off-line brute force attacks aimed at recovering the original plain text password, or the derived encryption keys. Client side key stretching ensures attackers capable of eavesdropping on connections protected by Transport Layer Security (TLS), or with access to the authentication database on the server, will be unable to derive a user's password or their symmetric encryption keys.

STACIE is intended for use as a standalone component in other client/server protocol and application development efforts. While the protocol examples provided below are simplified, the abstract mechanism should easily translate into other encapsulation and encoding formats. Likewise, STACIE has been designed in a modular fashion, making it capable of using any arbitrary, but suitably strong, one-way cryptographic hash function. To ensure interoperability among different implementations, the Secure Hash Algorithm (SHA2-512) [SHS] MUST be implemented, while support for the newer Secure Hash Algorithm (SHA3-512) [PBH] and the Skein hash function (Skein-512) [SKEIN], are OPTIONAL.

For improved security, STACIE has been designed to provide extension points making it possible for specifications to extend the scheme with support for alternate authentication factors. The goal of this
specification is to accommodate a large variety of security requirements, while remaining conservative in its assumptions and its use of any particular cryptographic primitives.

To accommodate the unpredictable pace of improvements in computer hardware and processing power, STACIE includes a mechanism which allows system operators to increase the difficulty level and processing required by clients for key derivation beyond what is mandated by this specification.

The purpose of this document is to discourage the proliferation of multiple schemes for use by the variety of protocols currently in development which need to safely derive a symmetric encryption key, and authenticate a user with the server using a single low entropy password. While STACIE introduces strategies designed to strengthen key material against a variety of recently revealed threats, and provides a measure of protection associated with deficiencies in the randomness of human input, it is not intended as a call to change or update existing protocols and specifications.

2. Terminology

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in RFC 2119 [KEYWORDS] when, and only when, they appear in all capitals, as described by RFC 8174 [CAPITALIZATION].

3. Encodings

This document represents all of the request and responses using standard JavaScript Object Notation [JSON]. When an object value is text, the native UTF-8 representation is supplied. Otherwise the value is armored using the base64 encoding scheme defined in RFC 4648 [BASE], with the URL and filename safe character set defined in Section 5, and assigned the identifier "base64url." In addition to the standard base64url conversion, all trailing pad characters, line breaks, white space, and other non-printable control characters MUST be removed, as permitted by Section 3.2. [BASE] For the examples in this document, line breaks only appear when the sample value exceeds the available space.

4. Derivation Process

STACIE employs a multistage process which includes an extraction stage, two key derivation stages, and two token derivation stages. The stages MUST progress in a linear order because the output for each stage is used as an input for the subsequent stage. The
extraction and key derivation stages require a user's plain text password, while the token derivation stages do not. This allows the derived tokens to be used for authentication, because they can be generated and verified by a server without access to the plain text password.

Implementations MUST never store a user's plain text password. Client implementations which need the ability to authenticate and access encrypted user data without user input MUST store the verification token, and the individual realm hash. These values provide the ability to authenticate with a server, and access the realm specific encryption keys without additional user input. By storing just these values, an implementation ensures a user's plain text password is still REQUIRED to alter account credentials. This allows a user to recover from an endpoint compromise by updating their password, allowing for a point in time recovery.

Client implementations with support for automatic login capabilities on platforms which provide a secure storage facility SHOULD make use of this capability to protect the verification token, and realm hashes.

*Required Inputs*

The derivation process requires the following inputs:

username
   The normalized username.

password
   The plain text user password.

*Optional Inputs*

salt
   An additional non-secret, per-site, or per-user source of random entropy. The salt value ensures output independence and provides protection against computational reuse and precomputed table lookups. Salt values MUST provide a minimum of 64 octets, and SHOULD be less than 1,024 octets, with 128 octets the RECOMMENDED length. Salt values SHOULD be aligned along a 32 octet boundary.

nonce
   An array of randomly generated octets created by a server for each login attempt, which MUST be combined with the verification token to derive the ephemeral login token. The nonce value MUST be a minimum of 64 octets, and SHOULD be less than 1,024 octets, with
128 octets the RECOMMENDED length. Nonce values SHOULD be aligned along a 32 octet boundary.

**bonus**

The fixed number of additional iterations added to the iteration count calculated dynamically based the password's length.

**Outputs**

**rounds**

The REQUIRED number of hash rounds used for the extraction and key derivation stages.

**master_key**

The key value REQUIRED for deriving realm keys, and used to derive the password key.

**password_key**

The second key derivation output, and REQUIRED for deriving the verification token. The password is also used to authenticate password updates.

**verification_token**

The persistent token stored on a server during account creation, or following a password update and then used to authenticate ephemeral login tokens in the future.

**ephemeral_login_token**

The ephemeral token value which proves knowledge of the verification token for a singular login attempt, and is REQUIRED to authenticate a session or connection.

**Example**

The following code, written in Python, demonstrates how to derive the various outputs by calling the example functions provided in subsequent sections:
# Derive the Rounds
rounds = RoundsDerivation(password, bonus)

# Extract the Seed
seed = SeedDerivation(rounds, username, password, salt)

# Keys
master_key = KeyDerivation(seed, rounds, username, password, salt)
password_key = KeyDerivation(master_key, rounds, username, password, salt)

# Tokens
verification_token = TokenDerivation(password_key, username, salt)
ephemeral_login_token = TokenDerivation(verification_token, username, salt, nonce)

# Derive the Realm Key
realm_key = RealmKeyDerivation(master_key, label, shard, salt)

# Extract the Cipher and Vector Keys
vector_key = RealmVectorKeyExtraction(realm_key)
tag_key = RealmTagKeyExtraction(realm_key)
cipher_key = RealmCipherKeyExtraction(realm_key)

# Encryption and Decryption
encrypted_data = RealmEncrypt(vector_key, tag_key, cipher_key, secret_message)
decrypted_data = RealmDecrypt(vector_key, tag_key, cipher_key, encrypted_data)

4.1. Hash Rounds

To improve the security of short passwords, STACIE requires client implementations to calculate the appropriate number of iterations, or "rounds" used for string concatenation during the seed stage and the number hash rounds REQUIRED during the key derivation stages. The rounds variable is based on the number of characters, with short passwords requiring more rounds than long passwords. The variable number of rounds was designed to make systematically checking all of the possible plain text inputs more expensive in the event any of the derived tokens are compromised. It does not inherently provide security for predictable passwords which might be easily guessed.

To ensure the formula used to calculate the number of rounds, and the required processing remains effective against brute force attacks in
the future, a fixed number of "bonus" rounds MAY be added beyond what is required. The number of bonus rounds is dictated by the server configuration and MUST be added to the number calculated based on length. The bonus variable is primarily intended to offset improvements in computer performance in the future, for implementations which rely on hash algorithm after they've been deprecated.

When calculating the number of dynamic hash rounds clients MUST first determine the number of Unicode "characters" in a password, which is distinct from the number of octets. Many character encodings, such as UTF-8 use a variable number of octets per character, and the number of octets MAY change based on the input method editor. For consistency, the password MUST be converted into the UTF-8 encoding, and the number of Unicode characters determined. Because UTF-8 is capable of representing the same hashed characters using multiple octets, and using different binary values based on the normalization form, it is critical that the length used for this calculation is always based on the number of Unicode characters. This will ensure the number of rounds remains deterministic.

To determine the number of rounds, a client MUST subtract the number of Unicode characters from the constant value 24. If the result is negative, the value 1 MUST be used. The result of this calculation is used as a "dynamic" exponent, which is raised using the base 2, and the result is the "variable" number of rounds. The "bonus" rounds MUST be added to the "variable" number to derive the total number of rounds.

If the combined value of the dynamic and bonus values is less than 8, the value 8 MUST be used. Alternatively, if the value exceeds 16,777,216 the value MUST be reduced to this maximum value. The maximum value corresponds to the limit imposed by the use of the 3 octet counter employed during the entropy extraction and key derivation stages.

Token derivation employs a fixed, 8 rounds, to avoid leaking information about the password length.

*Example*

The following Python code demonstrates the proper method for deriving the number of rounds:
def RoundsDerivation(password, bonus):
    # Accepts a user password and bonus value, and calculates
    # the number of iterative rounds required. This function will
    # always return a value between 8 and 16,777,216.

    # Identify the number of Unicode characters.
    characters = len(password.decode("utf-8"))

    # Calculate the difficulty exponent by subtracting 1
    # for each Unicode character in a password.
    dynamic = operator.sub(24, characters)

    # Use a minimum exponent value of 1 for passwords
    # equal to, or greater than, 24 characters.
    dynamic = max(1, dynamic)

    # Derive the variable number of rounds based on the length.
    # Raise 2 using the dynamic exponent determined above.
    variable = pow(2, dynamic)

    # If applicable, add the fixed number of bonus rounds.
    total = operator.add(variable, bonus)

    # If the value of rounds is smaller than 8, reset
    # the value to 8.
    total = max(8, total)

    # If the value of rounds is larger than 16,777,216, reset
    # the value to 16,777,216.
    total = min(pow(2, 24), total)

    return total

4.2. Entropy Extraction

STACIE starts by deriving a fixed-length pseudorandom seed value
which is "extracted" by "concentrating" the low-entropy user password
into a short, but cryptographically strong pseudorandom value.
Future extensions which incorporate a second authentication source
that results in a quality pseudorandom value for the seed value may
find this stage unnecessary.

Unlike the key and token derivation stages, the entropy extraction
stage uses the Hashed Message Authentication Code [HMAC] algorithm,
which is also defined by National Institute of Standards and
Technology (NIST) as a Federal Information Processing Standard (FIPS)
[HMAC-FIPS]. Test vectors based on SHA2-512 are available [HMAC-SHA].

Implementations supporting the OPTIONAL SHA3-512 or Skein-512 hash functions MUST use an HMAC implementation based on the appropriate SHA3-512 or Skein-512. Implementations SHOULD NOT use the Skein-MAC alternative described by the Skein paper [SKEIN]. Future STACIE extensions MAY provide alternative methods for seed extraction.

Unlike a simple hash, HMAC requires a 128 octet key value. The key value for the entropy extraction stage is derived from the salt value. If no salt value is available the username MUST be hashed and used as a substitute for the salt value. If the provided salt value is precisely 128 octets, then it MUST be used as the HMAC key.

When the provided salt is not 128 octets, then a key MUST be derived using an appropriate hash function, which provides a 128 octet value by digesting the salt value concatenated together with a counter variable. The process is performed twice, with the counter variable set to the values 0 and 1, respectively. The counter is digested as a 3 octet big endian integer value. The two hash digest output values MUST be concatenated to form the 128 octet HMAC key value.

The HMAC primitive also requires a "message" which is created using the plain text password, which MUST be provided to the HMAC primitive repeatedly, with the precise number of repetitions dictated by the "rounds" variable. The digest produced by the HMAC function becomes the 64 octet seed value used for the master key derivation stage.

*Example*

The following Python code demonstrates the proper method for extracting the entropy seed value:
def SeedDerivation(rounds, username, password, salt=None):
    # Concentrates and then extracts the random entropy provided
    # by the password into a seed value for the first hash stage.

    # If an explicit salt value is missing, use a hash of
    # the username as if it were the salt.
    if salt is None:
        salt = SHA512.new(username).digest()

    # Confirm the supplied salt meets the minimum length of 64
    # octets required, is aligned to a 32 octet boundary and does not
    # exceed 1,024 octets. Some implementations may not handle salt
    # values longer than 1,024 octets properly.
    elif len(salt) < 64:
        raise ValueError("The salt, if supplied, must be at least " \
                        "64 octets in length.")
    elif operator.mod(len(salt), 32) != 0:
        warnings.warn("The salt, if longer than 64 octets, should " \
                        "be aligned to a 32 octet boundary.")
    elif len(salt) > 1024:
        warnings.warn("The salt should not exceed 1,024 octets.")

    # For salt values which don't match the 128 octets required for
    # an HMAC key value, the salt is hashed twice using a 3 octet
    # counter value of 0 and 1, and the outputs are concatenated.
    if len(salt) != 128:
        key = \
        SHA512.new(salt + struct.pack('>I', 0)[1:4]).digest() + \
        SHA512.new(salt + struct.pack('>I', 1)[1:4]).digest()
    # If the supplied salt is 128 octets use it directly as the
    # key value.
    else:
        key = salt

    # Initialize the HMAC instance using the key created above.
    hmac = HMAC(key, None, SHA512)

    # Repeat the plain text password successively based on
    # the number of instances specified by the rounds variable.
    for unused in range(0, rounds):
        hmac.update(password)

    # Create the 64 octet seed value.
    seed = hmac.digest()

    return seed
4.3. Key Derivation

There are two successive key derivation stages. The master key is first, and requires the extracted seed value derived in the previous stage, along with the calculated number of rounds, the username, password, and if available, the salt value. The master key MUST be kept private. It provides the secret material needed to derive the realm specific subkeys used to encrypt data on the client.

The second key derivation stage provides the password key. It uses an identical process as the master key stage, with the exception of the seed value being replaced by the master key value derived in the first stage. The password key MUST be kept private until it comes time for a user to update their password. Password updates require sharing the password key with a server, which can then confirm the value translates into the current verification token, before updating the values stored in the authentication database. This ensures that a compromised authentication database can't be used by an attacker to alter user passwords.

Each key derivation stage repeats the hash process by the variable number of iterations dictated by the rounds variable. Assuming the hash function remains securely one-way, this strategy ensures key derivation requires a linear computational process. The amount of processing time is a product of the difficulty imposed by the rounds variable and a client's computational performance. The linear nature of the process means the time required for individual rounds MAY be shortened but the rounds MUST NOT be processed in parallel.

Hash values are generated by concatenating the input seed (or master key value) together with the username, salt, password and counter value. Successive rounds repeat the process, using an incremented counter value, and include the output of the previous round prepended to the input. The counter value MUST be digested as a 3 octet big endian integer value, and represents a 0 based value corresponding to the current round.

*Example*

The following Python code demonstrates the proper method for key derivation, with the seed value either the extracted seed, or the master key, depending on the stage:
def KeyDerivation(seed, rounds, username, password, salt="");
    # Hash the input values together using the input values, and
    # repeat the process, with the number of iterations dictated by
    # the rounds variable.
    count = 0
    hashed = ""
    while count < rounds:
        hashed = SHA512.new(hashed + seed + username + salt + \
            password + struct.pack('>I', count)[1:4]).digest()
        count = operator.add(count, 1)
        # The last digest output is returned as the key value.
    return hashed

4.4. Token Derivation

The token derivation process is distinct from the key derivation process because it is repeatable without knowing a user's password. The password key is combined with other inputs to derive the verification token, and the verification token is then shared with the server, which can use it to authenticate future login attempts. To prevent replay attacks, the verification token is combined with a nonce value, and using the same token derivation process, a unique ephemeral login token is generated for each session or connection.

Like the key derivation stages defined above, the seed value in the sample code below represents the output from the previous stage, which is either the password key or the verification token. This value is concatenated together with the salt value, if applicable, and a nonce value (when deriving the ephemeral token). A counter value is also appended, with the value representing a 3 octet big endian integer value, and corresponding to a 0 based count of the current round. The output for each round is prepended to the input of successive rounds, with a fixed 8 rounds performed during each token derivation stage.

__Example__hashed

The following Python code demonstrates the proper method for token derivation, with the seed value either the password key, or the verification token, depending on the stage:
def TokenDerivation(seed, username, salt="", nonce=""):
    # Hash the input values together using the input values, and
    # repeat the process eight times.
    count = 0
    rounds = 8
    hashed = ""

    # Confirm the nonce, if it was provided, meets the minimum
    # length of 64 octets, does not exceed 1,024 octets, and is
    # aligned along a 32 octet boundary. Implementations may not
    # handle nonce values larger than 1,024 octets properly.
    if len(nonce) > 0 and len(nonce) < 64:
        raise ValueError("Nonce values must be at least " \
                          "64 octets in length.")
    elif operator.mod(len(nonce), 32) != 0:
        warnings.warn("The nonce value, if longer than 64 octets, " \
                      "should be aligned to a 32 octet boundary.")
    elif len(nonce) > 1024:
        warnings.warn("The nonce should not exceed 1,024 octets.")

    while count < rounds:
        hashed = SHA512.new(hashed + seed + username + salt + \
                            nonce + struct.pack('>I', count)[1:4]).digest()
        count = operator.add(count, 1)

    return hashed

4.5. Realm Key Derivation

Realm specific keys are used to access and authenticate symmetrically
encrypted user data. The realm label specifies the category and/or
type of data protected by a given realm key. Protocols which
incorporate STACIE MAY use a single realm, or separate data into
different realms based on the data type. Every realm is protected by
a unique encryption key. The realms are isolated to allow separable
handling, and isolation, such that if one realm key is compromised,
it is possible for the remaining realms to remain secure, provided
the master key was not compromised, or the attacker is unable to gain
access to the shard values for other realms.

The shard value is a randomly generated string of 64 octets, provided
after successful authentication, which allows a client to derive a
realm key. Because the shard is stored on the server, an endpoint
compromise won't yield the necessary information to decrypt any
locally stored data, after the user updates their credentials. This
will mitigate the damage that would occur when a device with cached data is lost or stolen.

The unique key for a realm is derived by concatenating, then hashing the master key, realm label, and salt. The resulting digest is then combined with a realm shard value using the bitwise exclusive "or" operation. The result is a "realm key" which contains the concatenated vector key, tag key, and cipher key values. The vector key is comprised of the first 16 octets, the tag key is protected by the subsequent 16 octets, and the cipher key is comprised of the final 32 octets.

*Required Inputs*

The master key, as previously described, is combined with the following required inputs:

```plaintext
label
   The realm label, a predefined lowercase string describing the category and/or type of data.

salt
   An additional non-secret, per-site, or per-user source of random entropy. The salt value increases the unpredictability of the output. Salt values MUST provide a minimum of 64 octets, and SHOULD be less than 1,024 octets, with 128 octets the RECOMMENDED length. Salt values SHOULD be aligned along a 32 octet boundary.
```

*Outputs*

```plaintext
realm_key
   The realm specific key distilled from the provided inputs, and is the combination of the vector, tag and cipher key values.

vector_key
   The key used to unlock the initialization vectors for a given realm.

tag_key
   The key used to unlock the authentication tags for a given realm.

cipher_key
   The key used by the symmetric cipher to decrypt user data associated with a given realm.
```

*Example*
The following Python code demonstrates how to derive and then separate the keys for a given realm:

```python
def RealmKeyDerivation(master_key, label='', shard='', salt=''):  
    if len(label) < 1:  
        raise ValueError("The realm label is missing or invalid.")
    elif len(shard) != 64:  
        raise ValueError("The shard length is not 64 octets.")
    elif len(master_key) != 64:  
        raise ValueError("The master key length is not 64 octets.")

    # The salt value is optional, but if supplied, must be a minimum
    # of 64 octets in length, and no more than 1,024 octets in
    # length. It should be aligned to a 32 octet boundary. Some
    # implementations may not handle salt values longer than 1,024
    # octets properly.
    elif len(salt) != 0 and len(salt) < 64:  
        raise ValueError("The salt, if supplied, must be at least " \
                          "64 octets in length.")
    elif len(salt) != 0 and operator.mod(len(salt), 32) != 0:  
        warnings.warn("The salt, if longer than 64 octets, should " \
                       "be aligned to a 32 octet boundary.")
    elif len(salt) > 1024:  
        warnings.warn("The salt should not exceed 1,024 octets.")

    realm_hash = SHA512.new(master_key + label + salt).digest()
    realm_key = str().join(chr(operator.xor(ord(a), ord(b))) \
                          for a,b in zip(realm_hash, shard))

    return realm_key

def RealmVectorKeyExtraction(realm_key):
    vector_key = realm_key[0:16]

    return vector_key

def RealmTagKeyExtraction(realm_key):
    tag_key = realm_key[16:32]

    return tag_key

def RealmCipherKeyExtraction(realm_key):
    cipher_key = realm_key[32:64]

    return cipher_key
```

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5. Encryption

STACIE requires client implementations to support the Advanced Encryption Standard [AES] using 256 bit key values. To ensure data integrity, and protect against manipulation by a malicious server, AES MUST be employed using the Galois Counter Mode [GCM]. The binary format specifies a 34 octet envelope, followed by a payload aligned to a 16 octet boundary. The payload includes a 4 octet prefix, and a variable amount of padding appended as a suffix for alignment purposes.

5.1. Envelope

Symmetrically encrypted buffers are preceded by an envelope, consisting of the realm serial number, the initialization vector shard, and the authentication tag shard. The serial number is a 2 octet big endian integer corresponding to the realm key used to derive the key values associated with a given buffer. It is possible for a realm to have buffers encrypted using different serial numbers. The number MAY be increased when users update their password. The serial number is followed by a 16 octet initialization vector shard, which MUST be randomly generated whenever data is encrypted. The vector shard is combined with the vector key using a bitwise exclusive "or" operation to produce the initialization vector used for a given cipher text. The final envelope value is a 16 octet tag shard, which like the vector shard, MUST be combined with the tag key using a bitwise exclusive "or" operation to produce the authentication tag for a given cipher text.

*Envelope Parameters*

serial

The serial number is a 2 octet big endian integer which delineates which shard value for a given realm MUST be used to derive the realm key.

vector_shard

The randomly generated 16 octet value generated during encryption, and then combined with the vector key to using a bitwise exclusive "or" operation. The result is the initialization vector for a given cipher text.

tag_shard

A 16 octet authentication tag is created during the encryption process, and then combined with the tag key using a bitwise exclusive "or" operation to create the tag shard. To produce the authentication tag for a cipher text, the tag key MUST be combined
with the tag shard using to another bitwise exclusive "or" operation when the buffer is decrypted.

5.2. Payload

The envelope data is immediately followed by the encrypted payload, which consists of the encrypted plain text value, a 4 octet prefix, and up to 255 octets of padding appended after the plain text. The entire encrypted/decrypted payload, including the prefix and suffix, MUST align to a 16 octet boundary. The prefix begins with a 3 octet big endian integer which denotes the length of the plain text value, and is is followed by a single octet pad value. The pad value indicates how many additional octets have been appended to the plain text value to align the payload to the 16 octet boundary. The amount of padding MUST include the requisite 0 to 15 octets required to align the payload, but MAY also include a random amount of OPTIONAL padding in 16 octet increments. Specifically, the pad value MAY include an additional 16, 32, 48, 64, 80, 96, 112, 128, 144, 160, 178, 192, 208, 224, or 240 octets beyond those required for alignment. The padding octets appended after the plain text value, or suffix, MUST match the value of the padding octet in the prefix.

`size`

The length of the plain text value represented as a 3 octet, big endian integer.

`pad`

The amount of padding appended to the plain text value generated 16 octet value generated during encryption, and then combined with the vector key to using a bitwise exclusive "or" operation. The result is the initialization vector for a given cipher text.

`buffer`

A plain text value worthy of protection.

`padding`

Up to 255 octets of padding, with the padding octets all set to the pad value.

*Example*

The following Python code demonstrates how to encrypt a plain text value:
def RealmEncrypt(vector_key, tag_key, cipher_key, buffer, serial=0):
    count = 0

    if serial < 0 or serial >= pow(2, 16):
        raise ValueError("Serial numbers must be greater than 0 "
                        "and less than 65,536.")
    elif len(cipher_key) != 32:
        raise ValueError("The encryption key must be 32 octets "
                        "in length.")
    elif len(vector_key) != 16:
        raise ValueError("The vector key must be 16 octets in "
                        "length.")
    elif len(buffer) == 0:
        raise ValueError("The secret being encrypted must be at "
                        "least 1 octet in length.")
    elif len(buffer) >= pow(2, 24):
        raise ValueError("The secret being encrypted must be at "
                        "less than 16,777,216 in length.")

    vector_shard = get_random_bytes(16)
    iv = str().join(chr(operator.xor(ord(a), ord(b)))
                        for a,b in zip(vector_key, vector_shard))

    size = len(buffer)
    pad = (16 - operator.mod(size + 4, 16))

    while count < pad:
        buffer += struct.pack(">I", pad)[3:4]
        count = operator.add(count, 1)

    encryptor = Cipher(algorithms.AES(cipher_key), modes.GCM(iv),
                        backend=default_backend()).encryptor()
    ciphertext = encryptor.update(struct.pack(">I", size)[1:4]
                        + encryptor.finalize()

    tag_shard = str().join(chr(operator.xor(ord(a), ord(b)))
                        for a,b in zip(tag_key, encryptor.tag))

    return struct.pack(">H", serial) + vector_shard + tag_shard + ciphertext

The following Python code demonstrates how to decrypt and validate
the cipher text created by the encryption function above:
def RealmDecrypt(vector_key, tag_key, cipher_key, buffer):

    count = 0

    # Sanity check the input values.
    if len(cipher_key) != 32:
        raise ValueError("The encryption key must be 32 octets in ": length.")
    elif len(tag_key) != 16:
        raise ValueError("The tag key must be 16 octets in length.")
    elif len(vector_key) != 16:
        raise ValueError("The vector key must be 16 octets in ": length.")
    elif len(buffer) < 54:
        raise ValueError("The minimum length of a correctly ": formatted cipher text is 54 octets.")
    elif operator.mod(len(buffer) - 34, 16) != 0:
        raise ValueError("The cipher text was not aligned to ": a 16 octet boundary or some of the data is missing.")

    # Parse the envelope.
    vector_shard = buffer[2:18]
    tag_shard = buffer[18:34]
    ciphertext = buffer[34:]

    # Combine the shard and key values to get the iv and tag.
    iv = str().join(chr(operator.xor(ord(a), ord(b))) for a,b in zip(vector_key, vector_shard))
    tag = str().join(chr(operator.xor(ord(a), ord(b))) for a,b in zip(tag_key, tag_shard))

    # Decrypt the payload.
    decryptor = Cipher(algorithms.AES(cipher_key),
        modes.GCM(iv, tag), backend=default_backend()).decryptor()
    plaintext = decryptor.update(ciphertext) + decryptor.finalize()

    # Parse the prefix.
    size = struct.unpack(">I", 'x00' + plaintext[0:3])[0]
    pad = struct.unpack(">I", 'x00' + 'x00' + 'x00' + '
plaintext[3:4])[0]

    # Validate the prefix values.
    if operator.mod(size + pad + 4, 16) != 0 or \
        len(plaintext) != size + pad + 4:
        raise ValueError("The encrypted buffer is invalid.")

    # Confirm the suffix values.
for offset in xrange(size + 4, size + pad + 4, 1):
    if struct.unpack(">I", '\x00' + '\x00' + '\x00' + plaintext[offset: offset + 1])[0] != pad:
        raise ValueError("The encrypted buffer contained " +
                 " an invalid padding value.")

    # Return just the plain text value.
    return plaintext[4:size + 4]

6.  Password Changes

6.1.  Shallow Password Change

*Required Inputs*

The derivation process requires the following inputs:

new_master_key
    The master key created using the new password.

new_salt
    The salt value associated with the new password. Note this value
    SHOULD be different following a password change.

realm_key
    The realm specific key distilled using the previous password,
    salt, and current shard value.

label
    The realm label, a predefined lowercase string describing the
    category and/or type of data.

*Outputs*

realm_shard
    A replacement shard value, which will result in the same realm key
    being derived when combined with the new master key.

*Example*

The following code, written in Python, demonstrates how to derive a
new realm shard value during password changes:
def RealmShardRotation(new_master_key, new_salt, realm_key, label):
    if len(new_master_key) != 64:
        raise ValueError("The master key is not 64 octets.")
    elif len(new_salt) < 1:
        raise ValueError("The salt is missing or invalid.")
    elif len(realm_key) != 64:
        raise ValueError("The previous realm key is not 64 octets.")
    elif len(label) < 1:
        raise ValueError("The realm label is missing or invalid.")

    realm_hash = SHA512.new(new_master_key + label + new_salt).digest()
    realm_shard = str().join(chr(operator.xor(ord(a), ord(b)))
        for a,b in zip(realm_hash, realm_shard))

    return realm_shard

6.2. Deep Password Change

6.3. Hybrid Password Change

7. Protocol

7.1. Create User

    When the birds mate with the bees a new account is born.
{ register:
  { username: "user-alias@example.tld" }
}

{ recruit:
  { username: "user-alias@example.tld",
    salt: "Wb4vfzSpBpDRka6dhba3KhrIh09_4-IAL22X0ca12z90QNdvNxFlRBM qsy4r9D9000dXbckHJzijGF7d1PESrGw1G6b9YCCpNvKiIgLeAPzx1OB7mn03wL RCFzY8Ab8kvnkinoZhVnr6FDs34RS6bYB-mBB5wX2iQ-TBKZ1E",
    bonus: "131072",
    hash: "sha2"
  }
}

{ error: "Registration is currently disabled." }
{ error: "The requested username is unavailable." }
{ error: "A dramatic increase in cosmic radiation means registration is temporarily unavailable." }

{ enroll:
  { username: "user-alias@example.tld",
    salt: "Wb4vfzSpBpDRka6dhba3KhrIh09_4-IAL22X0ca12z90QNdvNxFlRBM qsy4r9D9000dXbckHJzijGF7d1PESrGw1G6b9YCCpNvKiIgLeAPzx1OB7mn03wL RCFzY8Ab8kvnkinoZhVnr6FDs34RS6bYB-mBB5wX2iQ-TBKZ1E",
    verification-token: "egf9dS6425b5qmrW4JY861NxDwHM5PvLF7DkyufIUwX 2bAZ8p7iDCHNLVB53_zZUMWgxWIxAxmWvGd8Av9Q"
  }
}

7.2. Login

The login process begins by submitting a "login" request with the response providing an array of method objects each with the parameters REQUIRED to compute the secret values needed for key derivation and the tokens used for authentication. This includes the password object which provides the nonce value REQUIRED to generate the ephemeral login token used to validate the session or connection.

7.2.1. Login Request

A login request supplies a single username parameter, which is REQUIRED, and ensures equivalent inputs always provide a common, deterministic outcome.

*Required Parameters*

username

The username value provide must be submitted to the server for normalization, canonicalization and alias mapping to ensure a
deterministic result. The specific rules applied are determined by the account policies and system locale for the server. Typically, this will include lower-case characters, decomposing ambiguous characters, adding, removing or altering the domain name component, and mapping aliases to a real username.

*Example*

```json
{ login:
  { username: "user-alias@example.tld" }
}
```

7.2.2. Login Response

The response provides an array of method objects corresponding to different authentication mechanisms along with any requisite parameters. A disposition attribute indicates whether a particular method is OPTIONAL or REQUIRED. Currently, STACIE only provides details for key derivation using passwords. Future specifications MAY extend this scheme to support common alternate, or additional methods, including second factor mechanisms, which is indicated by the presence of multiple method objects marked as REQUIRED.

If a user or site specific salt value is available, it MUST be returned in the password object. The salt provides a non-secret random value which ensures independence between different uses of the same password at different points in time. The salt value is particularly important for sites with a policy of stripping the domain portion off usernames, as a unique salt will ensure independence between accounts with an identical username and password, but residing on different systems.

The singular method defined by this specification is the password mechanism, which provides an object containing the following parameters specified below.

*Required Parameters*

**username**

The username returns the normalized username in a form suitable for use as an input parameter to the cryptographic hash function. Presumably, this will involve matching the value provided by the client with a static username identifier to ensure a deterministic output.

**salt**
The salt provides additional entropy for the cryptographic hash function. The salt value SHOULD be randomly generated and unique for every username. A minimum of 64 octets SHOULD be returned, with additional octets allowed in 32 octet increments. Clients MUST be capable handling salt values up to 1,024 octets in length.

nonce
The nonce MUST be combined with the verification token, which results in the ephemeral login token. Server implementations MUST ensure a unique nonce is used for each authentication attempt.

*Optional Parameters*

bonus
The bonus value mandates an arbitrary number of additional hash rounds a client MUST perform during each stage, in addition to the base rounds, and MAY be used by system operators to mitigate improvements in computing performance, or simply provide additional security sensitive accounts. Clients must accept and support values between 0 to 1,024. Implementations MAY provide support for values higher than 1,024. If this attribute is missing, a client MUST assume a default value of 0.

hash
The hash value provides an object which identifies the one-way hash function, along with any parameters specific to the supplied primitive. This specification defines the hash objects for the "sha2" and "skein" primitives. Clients MUST support the SHA2 algorithm. Support for SHA3 or Skein is OPTIONAL. If the hash object is missing, a client SHOULD assume the SHA2 algorithm with block and digest attribute values of 512 bits. If a SHA2 or Skein object is returned without block or digest values, a client MUST assume the default value of 512 bits.

cipher
The cipher value provides an object which identifies the symmetric cipher used to encrypt and decrypt data retrieved from the server along with any algorithm specific parameters. This specification mandates that all implementations MUST be capable of supporting the "aes" primitive using the "gcm" block mode with a 256 bit key. If the cipher object is missing, clients MUST assume that AES [AES] is being used in the GCM [GCM] block mode, with a 256 bit key. These same default values MUST be used if the cipher object specifies AES, but lacks values for the mode and key attributes.

disposition
An enumerated value, with values of OPTIONAL and REQUIRED. If this value is missing, REQUIRED is presumed as the default value.
If two or more method objects are marked as REQUIRED, then 2
factor authentication is implied.

*Example*

```json
{ methods: 
  [ password: 
    { username: "user@example.tld", 
      salt: "lyrtpzN8cBRZvsiHX6y4j-pJOjIyJeuw5aVXzrItw1G4E0a-6CA49RhVp
      keH0UeXYoETisHR3Ik3YuOxhwWyesMJvpf0IBtx0f0uorb8Wpnhw5Bx
      JVCbiT0SE50PFKGBFMkc63Koa7vMDj-WEnOj2X0kkTt1W6cUvF8i-M", 
      nonce: "oDDYAHoSiX7Nl2qTwT18onW0hZdeT03ebzzZp6nXMT0_0_vr_AsmAm
      3yRFwWtSCPJz0sA2o66uhNm6YenOGz0NKHCmAVGqKdEBf_BTykyULDuw2fSk
      b07mnXhnxrJEc2ZVam6ogYABfHZjgYUTAI_SICyKAN7KOMuImL2g", 
      bonus: "131072", 
      hash: "sha2", 
      cipher: "aes", 
      disposition: "required" } 
  ] }
```

7.3. Password Authentication

The process for a password based authentication concludes by
submitting an "authenticate" request with an ephemeral login token.
The response provides a keys array, with objects corresponding to the
various realm specific keys specific to the protocol. These values
are combined with the master key to derive the symmetric keys for the
various realms used to encrypt data on a client.

7.3.1. Authenticate Request

The authenticate object is submitted to a server for validation.

*Required Parameters*

username
  The normalized username.

nonce
  A randomly generated value, which MUST be combined with the
  verification token to create an ephemeral login token. Every
  nonce value MUST only be used for one authenticate request.
  Failed login attempts require a new nonce value to retry the login
  attempt.

token
The ephemeral login token needed to authenticate a session or token.

*Example*

```json
{ authenticate:
  { username: "user@example.tld",
    nonce: "oDdYAHOSiX7Nl2qTwT18onW0hZdeT03ebxzZp6nXMT0__0_vr_AsmAm3vYRwWtSCPJz0sA2o66uhNm6Yen0Gz0NkHcSAVgQhKdEBf_BYkkyULDuw2fSkb07mlnxEhxqrJEc27ZVam6ogYABfHZjgVUTAi_SICyKAN7KOMuImL2g",
    token: "-Eu5mUcA7ko2Bysv965hrf9bvM1h_s_iiI3tfMr0Qc7hf4oPmBCdG0U9VCeQ1qBrega-Wyr-rko510-feoWuua"
  }
}
```

7.3.2. Authenticate Response

If the authentication attempt was successful the server will return an array of realm shards.

*Required Parameters*

index
The an incrementing counter corresponding to each shard value.

label
A protocol specific string containing the realm where the key value is used.

shard
The random bytes which are combined with the master key to derive a realm specific key value.

*Example*

```json
{ realms: [
  { index: "1",
    label: "mail",
    shard: "gd65Kdeda1hB2Q6gdZl0fetGg2viLXWG0vmKN4HxE3Jp3Z0Gkt5prqSmcuY2o8t24iGSCO0nFDpP71c3x9SX9Q",
  }
]
```

However, if the authentication request is unsuccessful and the server is willing to allow the client another attempt, it will return a
A nonce value MUST only be used once regardless of whether the attempt is successful. The following example only contains the required parameters.

*Example*

```json
{ methods: 
  [ password: 
    { username: "user@example.tld", 
      salt: "lyrtpzN8cBRZviHX6y4j-pJ0jIyJeuw5aVXzrItw1G4E0a-6CA4R9BhVpinkeH0UlxyOeTisHR3Ik3yu0hxBWPyesMJvfp0IBtx0f0uorb8wPnhw5BxDJVCbiTOSE50PKGBFMkc63Koa7vMDj-WEoDj2X0kkTt1w6cUvF8i-M", 
      nonce: "vQmxYp9sbnZJ1ME62AxSGe3cQgMqTmVw92E1qfNRF1_u2zVEiVv5dV2ab6EhsWPdkHsxtJGj-NTEF1vet1mlgfD67mQ01IPG7RfxPmEAJwAWGkbgPGkQI2tpfAs5LqQai-Any3I95Kq-eTPIP8ykQYXKW8qO-DJCw5SmmCrJs" } 
  ]
}
```

Or if the server does not want to allow any further attempts to access the account, it MAY also return an error message.

```json
{ error: "The authentication attempt failed." }
```

7.4. Password Change

Update the verification token, and salt values on the server. Note the salt value is only updated if user specific salt values are being used. Alter any existing realm specific shard values, and if required add new randomly generated realm specific shard values.

7.5. Fetch Realm Shards

Fetch the realm shard values. The result MAY be request a specific realm, and serial number.

7.6. Add a Realm Shard

Add a shard, for a given realm, to the account using the next available serial number.

8. Security Considerations

Client and server implementations SHOULD follow the recommendations provided here to avoid leakage, and improve difficulty.
8.1. Servers

*Username Enumeration*

To avoid enumeration and avoid leaking the list of valid user accounts, servers SHOULD respond to authenticate requests with valid and invalid usernames in the same fashion. Because salt values are typically unavailable in this situation, servers SHOULD normalize and return the username along with a dynamically derived salt value generated by combining the username with a site specific value. This will ensure a consistent salt value is returned on subsequent requests for the same invalid username. Servers MAY choose to return an error if the username contains invalid characters, or was provided with an unrecognized domain name.

*Salt Values*

To ensure STACIE provides the maximum amount of protection, implementations SHOULD generate unique, random salt values for every user, and then rotate the salt value every time the password is updated. This will ensure independence between common inputs, and strengthen the security analysis underpinning the design [HKDF].

8.2. Clients

*Side Channels*

A properly implemented client SHOULD ensure it's impossible for an attacker to correlate the duration between client request/responses with the plain text password length. Several mitigation strategies are possible, including submitting authentication requests independently of when users input their password. Adding random delays between hash rounds, which are independent of system load and processor speed, or using a constant duration for password processing independent length, are also possible. Clients MAY round any artificial processing delays to aligned boundaries, which would also make correlation more difficult.

8.3. Shared

*Transport Security*

STACIE implementations MUST support TLS using a ciphersuite capable of protecting against network eavesdroppers, data tampering and ensure the confidentiality of messages. Protocols incorporating STACIE as a component MUST provide recommendations sensitive to their intended context, but SHOULD encourage the use of TLS version 1.2, or later, and limit implementations to ciphersuites capable of providing
perfect forward secrecy. Server deployments SHOULD ensure they provide valid TLS certificates, and client implementations SHOULD ensure they properly validate server certificates using the procedures described in RFC 6125 [TLS-PKIX] or optionally, using the procedures described in RFC 6698 [TLS-DANE].

As of this writing, the RECOMMENDED ciphersuite is $\text{TLS\_ECDHE\_RSA\_WITH\_AES\_256\_GCM\_SHA384}$, identified by the octet values \{0xC0, 0x30\}, or the equivalent ECDSA variant, $\text{TLS\_ECDHE\_ECDSA\_WITH\_AES\_256\_GCM\_SHA384}$, which is identified by the octet values \{0xC0,0x2C\}. [TLS-GCM]

Specific requirements and recommendations will need to be updated over time, based on what is widely deployed, and MAY need altering based on future vulnerability discoveries. To obtain contemporary guidance, or find additional recommendations, implementers and system operators SHOULD consult the Recommendations for Secure Use of TLS and DTLS [TLS-UTA].

### 9. IANA Considerations

This document has no actions for IANA.

### 10. Feedback

The preceding document was excreted with the assistance of a diarrhoetic. As such, feedback is both welcome, and encouraged.

### 11. Acknowledgments

The genesis for STACIE was the authentication and key derivation method used by Lavabit LLC to authenticate client connections and protect the user specific private keys. Improvements were made while adapting the original server based scheme to operate on clients being developed for the Privacy Respecting Internet Mail Environment (PRIME). The author would also like to acknowledge and thank the One Password Protocol [ONEPW] developed for Firefox Sync and the HKDF [HKDF] specification for inspiring some of the improvements incorporated into STACIE.

The improvements were all focused on providing operational flexibility, extensibility, while improving the security characteristics of short, relatively simple passwords commonly chosen by bipedal hominids. Acknowledgment must also be given to the large online services which allowed their password databases to be publicly scrutinized. Analysis of these databases proved invaluable while selecting the constants used by STACIE, and allowed the author to see
how variations effected the dynamic difficulty level for a random sampling of real passwords.

The goal for STACIE was to ensure it provided sufficient resistance against brute force attacks for the vast majority of passwords which will inevitably be used. Admittedly the term "sufficient resistance" is very subjective, and is constantly being shifted by advances in technology. Thanks should be given to the critics. Their complaints led to a modular hash algorithm, and the strategy of combining a dynamically calculated difficulty with a policy based bonus. Hopefully these decisions will ensure the survival of users with short password who inevitably get stuck on the long tail. STACIE is not a substitute for long, truly random, and incredibly complex passwords used by any evolved hominids capable of remembering them.

The author would also like to thank Stacie for inspiring the name. Her resistance to having a computer bear her name, inevitably, led to something far better.

12. Normative References


[HMAC-FIPS]

[HMAC-SHA]

[JSON]

[KEYWORDS]

[ONEPW]

[PBH]

[SHS]

[SKEIN]

[TLS-DANE]
Appendix A. Test Vectors

This appendix provides test vectors. Binary values are provided using the base64url encoding, with line breaks added as necessary.

A.1. Inputs

```plaintext
# User Inputs
password = "password"
username = "user@example.tld"

# Server Inputs
bonus = 131072
salt = "lyrtpzN8cBRZvsihX6y4j-p0j1lyjuew5aVXzrItw1G4E0a-6CA4R"
"9BhvpinkeH0uXy0eTisHR3Ik3yu0hxbWpYesMJvfp01BtXs0fG0orb8w"
"Pnhw5BxDJVcb1T0ESE50PFkBFMk63Koa7vMDj-wEOdJz2X0kkTt1W6cU"
"vF8i-M"
nonce = "oDdYAH0siX7N12qTwT18onW0hZdeT03ebxzzPp6nXMT0_0_vr_"
"AsmAm3vYrWtSCPjz00sA2o066uN6Y6n0g20Nh6gC30Y6wMqKhKdEBf_BT"
"YKyULDw2f5kb07mlnxExhqrJEc27vAm6OgYAbfHzjgVUTA1_SICy"
"KAN7KOMuImL2g"

# Realm Inputs
realm = "mail"
shard = "gD65Kdeda1bB2Q6gdZl0fetGg2viLXWG0vmK4Hx3E3Jp3Z"
"0Gkt5prqSmcuY2o8t24iGSC0nFdpP71c3x19SX9Q"

# Encrypted Data
encrypted_data = "AACS5PQoBg4ON1xt6aUSddMxTTIKGdbGSe1UKIbUKUj"
"prZv9ekAwPPrJ0UqJqWghdgEvCzSkZwr-kvNZo6f2Iw1a"
```
A.2. Outputs

rounds = 196608
seed = "5f-3mTGTSf-sFPfmGqHTyydDjJU-cqahwDmHWyh6DLQ2oLBz3ht" \\
"PTZS6V-TYVB1wJxuTYmQv3fCZN3Fb8brg"

master_key = "SDt67ZfTr8cIK01Ym6BI69i7TQNnq5J2i7rmiy6gPQ1E0MGc" \\
"5x-b43bi1uJDF4rhJjvfl9NFBQkDQ_X_2n66RA"
password_key = "lYmvC3qutKIb6QrnxTi_WuJR_PSi0yMZ0Cdh18DaxH1gw" \\
"jj0_e4W6X8bKckKNGugwMMXmNgXYyb_7LlvtfN3HQ"

verification_token = ";-Eu5mUca7ko2BysV965hrf9bvM1h_S_iiI3tfMr" \\
"0Qc7hf4oPmBCdGOU9VCeQ1qBrga-WyR-rko5l0-feoWuuA"
ephemeral_login_token = "8YEh_6kBdAdR5v1Baxs3KR3pZ429bEzF3AVF" \\
"hkA0P2Wp2h94omJq-d8NhX0rNLEBn2yTu_z0ugJcSVLyz5iQ"

realm_key = "v53LS2JFjE-ErqJ2uWTe00-dYxtyMUQzewxXczVVKqzcRPSS" \\
"4sdBHPaKBH0qxr7SWaQR3moXN2tzJHyJ_p5Dw"
tag_key = "751jG1gxRD6_FdzNVWRRDA"
vector_key = "v53LS2JFjE-ErgJ2uWTe0A"
cipher_key = "3ET0kuLHQrz2igZ4qsca-0lmkEd5qFzdrCySYs6eQ8"
decrypted_data = "Attack at dawn!"

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