Expires: February 20, 2016

The use of Secure Hash Algorithm 3 in OpenPGP

draft-jivsov-openpgp-sha3-01

Abstract

This document presents the necessary information to implement the SHA-3 hash algorithm with the OpenPGP format.

Status of This Memo

This Internet-Draft is submitted in full conformance with the provisions of BCP 78 and BCP 79.

Internet-Drafts are working documents of the Internet Engineering Task Force (IETF). Note that other groups may also distribute working documents as Internet-Drafts. The list of current Internet-Drafts is at http://datatracker.ietf.org/drafts/current/.

Internet-Drafts are draft documents valid for a maximum of six months and may be updated, replaced, or obsoleted by other documents at any time. It is inappropriate to use Internet-Drafts as reference material or to cite them other than as "work in progress."

This Internet-Draft will expire on February 20, 2016.

Copyright Notice

Copyright (c) 2015 IETF Trust and the persons identified as the document authors. All rights reserved.

This document is subject to BCP 78 and the IETF Trust's Legal Provisions Relating to IETF Documents (http://trustee.ietf.org/license-info) in effect on the date of publication of this document. Please review these documents carefully, as they describe your rights and restrictions with respect to this document. Code Components extracted from this document must include Simplified BSD License text as described in Section 4.e of the Trust Legal Provisions and are provided without warranty as described in the Simplified BSD License.

Table of Contents

- 1. Introduction
- 2. Conventions used in this document
- 3. Overview of the hash algorithm use in OpenPGP
- 4. Supported SHA-3 algorithms
- 5. Use with RSA digital signatures
- 6. Interoperability concerns arising from an introduction of a new hash algorithm
- 7. IANA Considerations
- 8. Security Considerations
- 9. References
 - 9.1. Normative References
 - 9.2. Informative References
- **Author's Address**

1. Introduction

The OpenPGP format [RFC4880] supports multiple hash algorithms. This document provides the necessary information to use the Secure Hash Algorithm-3 (SHA-3) with the OpenPGP format.

National Institute of Standards and Technology (NIST) selected [Keccak] for its elegant design, its efficiency on various computing devices, high performance in hardware implementations, and different internal structure that may provide better defense against attacks on its predecessors from the SHA-1 and SHA-2 family. [SHA-3] specification is an instantiation of the [Keccak] algorithm.

2. Conventions used in this document

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD", "SHOULD", "NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [RFC2119].

3. Overview of the hash algorithm use in OpenPGP

Hash algorithm is used in [RFC4880] with digital signatures, with modification detection code, and to calculate key fingerprints. Only digital signatures allow algorithm agility and are most vulnerable to various attacks on hash functions. The focus of this document is on the use of SHA-3 hash with OpenPGP digital signatures.

The use of hash algorithm with digital signatures in OpenPGP falls into two categories. The first one is the digital signatures over messages, and another one is the certifications of the key material. The key certifications includes self-signatures, which convey key preference information, among other tasks. The rest of key certifications are third party key signatures. These categories are considered separately in Section 6 for their impact on interoperability.

4. Supported SHA-3 algorithms

SHA-3 specification [SHA-3] defines a single cryptographic hash function that is parameterized to produce hash output of a specific size. This document refers to these instantiations of the same hash algorithm as SHA-3 hash algorithms and treats them as different hash algorithms with unique IDs.

The SHA-3 algorithm SHA3-256, SHA3-384, and SHA3-512, which has the output size of 256, 384, and 512 bits, respectively, MAY be used as a hash algorithm with digital signatures.

The input size to the hash algorithm in OpenPGP MUST be a multiple of 8 bits. In more details, [SHA-3] provides domain separation for different instantiations of [Keccak] by adding two bits 01 to a message-to-be-

hashed M. M is what gets hashed with other hash algorithms, such as SHA2-256, and the length of M MUST be a multiple of 8 bits. The hashing of 01 bits can be integrated into the finalization stage of a SHA-3 implementation. A sample implementation [SHA3IUF] demonstrates how to do this with Init/Update/Finalize style of hashing API.

An application MAY generate signatures with SHA3-256, SHA3-384, and SHA3-512 hash algorithms. An application MUST implement signature verification for three SHA-3 algorithms if it implements at least one of the SHA-3 algorithms. The all-or-nothing requirement should be feasible for the vast majority of implementations because it is relatively easy to implement all SHA-3 algorithms with a single unified implementation, as [SHA3IUF] demonstrates, where the only variable that distinguishes these algorithms is an integer representing the the capacity parameter of the Keccak.

Applications MAY use any digital signature algorithm described in [RFC4880] and Elliptic Curve DSA algorithm described in [RFC6637] with SHA-3.

5. Use with RSA digital signatures

Section 5.2.2 of [RFC4880] describes the Version 3 Signature Packet Format. One of the allowed public key algorithms in that section is the RSA digital signature algorithm. RSA signatures use the PKCS#1 encoding to format (cryptographically "pad") the output of the hash algorithm. The padding includes the DER-encoded prefix that is fixed for the given hash algorithm. While this prefix is a DER encoding of an ASN.1 Object Identifier (OID), the length of the hash output, and the supporting fields, it's possible to define the prefix as a fixed sequence of octets. These prefixes are defined below.

Algorithm	ASN.1 OID			
SHA3-256	2.16.840.1.101.3.4.2.8			
SHA3-384	2.16.840.1.101.3.4.2.9			
SHA3-512	2.16.840.1.101.3.4.2.10			

SHA-3 hash OIDs

The full DER-encoded hash prefixes are provided bellow.

Algorithm	gorithm Full DER prefix		
SHA3-256	30,31,30,0d, 06,09,60,86, 48,01,65,03, 04,02,08,05, 00,04,20 [32-octet hash]		
SHA3-384	30,41,30,0d, 06,09,60,86, 48,01,65,03, 04,02,09,05, 00,04,30 [48-octet hash]		
SHA3-512	30,51,30,0d, 06,09,60,86, 48,01,65,03, 04,02,0a,05, 00,04,40 [64-octet hash]		

SHA-3 hash full DER prefixes

6. Interoperability concerns arising from an introduction of a new hash algorithm

The use of a new hash algorithm in a public key certifications, especially in self-signatures, can make the key unusable in the large extent of the OpenPGP ecosystem. The impact of the use of the new hash algorithm in a digital signature over a message is limited to users who will be verifying this message.

Implementation MUST include SHA-3 algorithms in the Hash Algorithm Preferences of the keys it generates when it updates the algorithm preferences. This preference is described in the Section 13.3.2 of [RFC4880].

In cases when the message is both encrypted and signed, the application knows the keys of the entities who will be performing signature verification. The application SHOULD rely on Hash Algorithm Preferences of the recipients' public keys to learn about SHA-3 support.

Addition of the SHA-3 support will benefit from planning ahead.

The digital signature validation is dependent on wide support of the selected hash algorithm by deployed OpenPGP implementations that will be verifying the digital signature. In general, there is no method in OpenPGP by which a party that issues a digital signature can be certain about the support of a hash algorithm by other implementations.

The safest method to mitigate these challenges is a phased deployment of the new hash algorithm support in the application, as follows:

- Implement the hash algorithm, test it thoroughly.
- Enable its use with the digital signature algorithms and test signature generation and verification, ideally, with multiple implementations. Then disable the signature generation in the released code (i.e. leave the "read support" only).
- Wait sufficiently long for the deployment of the applications that can verify digital signatures with the new hash algorithm.
- Enable the generation of signatures with the new hash algorithm, starting from the signatures over messages, later extending it to certifications.

7. IANA Considerations

This document asks to allocate the consecutive hash algorithm IDs from the Hash Algorithm ID range, defined in the Section 9.4 of [RFC4880].

The starting ID is not important, but the properties that the IDs are sequential and in the given order are expected to simplify implementation.

ID Algorithm	Text Name		
13 SHA-3 with 256 bit output	"SHA3-256"		
14 SHA-3 with 384 bit output	"SHA3-384"		
15 SHA-3 with 512 bit output	"SHA3-512"		
SHA-3 hash IDs			

8. Security Considerations

SHA-3 hash algorithms have different internal structure, informally called "wide pipe" or "sponge" design, that may offer higher security in the future over the strength of its SHA-2 predecessors with identical output size, especially with digital signature applications.

Table 2 from [SP800-57] SHOULD be used to determine the corresponding strength of the public key algorithm for the given hash algorithm. For example, the SHA-3 256 has equivalent security strength to the NIST curve P-256 [RFC6637]. Refer to Table 4 in section A.1 [SHA-3] for security strength of the SHA-3 algorithms.

9. References

9.1. Normative References

- [RFC2119] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", BCP 14, RFC 2119, DOI 10.17487/RFC2119, March 1997.
- [RFC4880] Callas, J., Donnerhacke, L., Finney, H., Shaw, D. and R. Thayer, "OpenPGP Message Format", RFC 4880, DOI 10.17487/RFC4880, November 2007.

[SHA-3] NIST, "SHA-3 STANDARD: PERMUTATION-BASED HASH AND EXTENDABLE OUTPUT FUNCTIONS", August 2015.

9.2. Informative References

[Keccak] Bertoni, G., Daemen, J., Peeters, M. and G. Van Assche, "The Keccak sponge function family", 2012.
[RFC6637] Jivsov, A., "Elliptic Curve Cryptography (ECC) in OpenPGP", RFC 6637, DOI 10.17487/RFC6637, June 2012.
[SHA3IUF] Jivsov, A., "A single-file C implementation of SHA-3 with IUF API", August 2015.
[SP800-57] NIST, "Recommendation for Key Management -- Part 1: General (Revision 3)", July 2012.

Author's Address

Andrey Jivsov

F5 Networks, Inc. EMail: openpgp@brainhub.org