ECC in OpenPGP
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A. Jivsov Expires March 17, 2011
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Abstract

This document proposes an Elliptic Curve Cryptography extension to the OpenPGP public key format and specifies three Elliptic Curves that enjoy broad support by other standards, including NIST standards. The document aims to standardize an optimal but narrow set of parameters for best interoperability and it does so within the framework it defines that can be expanded in the future to allow more choices.

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1. Introduction

The OpenPGP protocol supports RSA and DSA public key formats. This document defines the extension to incorporate support for public keys that are based on Elliptic Curve Cryptography (ECC).

2. Conventions used in this document

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [RFC2119].

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An application MAY implement this draft; note that any [RFC2119] keyword within this draft applies to an OpenPGP application only if it chooses to implement this draft.

3. Elliptic Curve Cryptography

This specification establishes the minimum set of Elliptic Curve Cryptography (ECC) public key parameters and cryptographic methods that will likely satisfy the widest range of platforms and applications and facilitate interoperability. It adds a more efficient method for applications to balance the overall level of security with any AES algorithm specified in [RFC4880] than by simply increasing the size of RSA keys.

This document defines a path to expand ECC support in the future.

National Security Agency (NSA) of the United States specifies ECC for use in its [Suite B] set of algorithms. This specification includes algorithms required by Suite B, so it would be possible to build a Suite B compatible implementation based on a subset of [RFC4880] and this specification.

4. Supported ECC curves

This standard references three named prime field curves, which are defined in [FIPS 186-2] as "Curve P-256", "Curve P-384", and "Curve P-521".

The named curves are referenced as a sequence of bytes in this specification, called throughout this document as Curve OID. Section 11 describes in details how this sequence of bytes is formed.

5. Supported public key algorithms

Supported public key algorithms are Elliptic Curve Digital Signature Algorithm (ECDSA), defined in [FIPS 186-2], and Elliptic Curve Diffie-Hellman (ECDH), defined in section 8.

Other compatible definition of ECDSA can be found in [SEC1].

The section 9.1. Public-Key Algorithms of [RFC4880] is expanded to define the following public key algorithm IDs:
<table>
<thead>
<tr>
<th>ID</th>
<th>Description of algorithm</th>
</tr>
</thead>
<tbody>
<tr>
<td>[to be ASSIGNED] presumably 18</td>
<td>ECDH public key algorithm</td>
</tr>
<tr>
<td>19</td>
<td>ECDSA public key algorithm</td>
</tr>
</tbody>
</table>

Applications MUST support ECDSA and ECDH.

6. Conversion primitives

The method to convert an EC point to the octet string is defined in [SEC1]. This specification only defines uncompressed point format. For convenience, the synopsis of the encoding method is given below, however, the [SEC1] is the normative source of the definition.

The point is encoded in MPI format. The content of the MPI is the following:

\[
B = B0 || x || y
\]

where \(x\) and \(y\) are coordinates of the point \(P = (x, y)\), each encoded in big endian format and zero-padded to the underlying field size.

\(B0\) is a byte with following values:

<table>
<thead>
<tr>
<th>value</th>
<th>description</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td>Point O. In this case there is no (x) or (y) octets present.</td>
</tr>
<tr>
<td>4</td>
<td>Uncompressed point. (x) and (y) of EC point values follow.</td>
</tr>
</tbody>
</table>

Note that point O shall not appear in a public or a private key. Therefore, the size of the MPI payload is always \(\text{curve}_\text{size}*2 + 3\) bits. For example, for "Curve P-256" the point is represented as a bit string of length 515 bits.

If other conversion methods are defined in the future, the application MAY use them only when it is certain that every recipient of the data supports another format.
7. Key Derivation Function

A key derivation function (KDF) is necessary to implement EC encryption. The Concatenation Key Derivation Function (Approved Alternative 1) defined in [NIST SP800-56A] is REQUIRED with the following restriction: the KDF hash function MAY be based on any of the following hash functions specified by [FIPS 180-2]: SHA2-256, SHA2-384, SHA2-512. See section 13 for the details regarding the choice of the hash function.

For convenience, the synopsis of the encoding method is given below with significant simplifications applicable to the choice of hash function. However, [NIST SP800-56A] is the normative source of the definition.

```plaintext
// Implements KDF( X, oBits, P );
// Input: point X = (x,y)
// oBits - the desired size of output
// hBits - the size of output of hash function Hash
// P - octets representing the parameters
// Assumes that oBits <= hBits

// Convert the point P to octet string as defined in section 6:
//   ZB' = 04 || x || y
// and extract the x portion from ZB:
ZB = x;
MB = Hash ( 00 || 00 || 00 || 01 || ZB || P );

return oBits leftmost bits of MB.
```

8. EC DH Algorithm (ECDH)

The method is a combination of ECC Diffie-Hellman method to establish a shared secret, key derivation method to process the shared secret into a derived key, and a key wrapping method that uses the derived key to protect a session key used to encrypt a message.

One-Pass Diffie-Hellman method C(1, 1, ECC CDH), defined in [NIST SP800-56A], MUST be implemented with the following restrictions: ECC CDH primitive employed by this method is modified to always assume the cofactor as 1, KDF specified in section 7 is used, and KDF parameters specified below are used.

Key derivation function parameters MUST be encoded as concatenation of the following 5 variable-length and fixed-length fields:
a variable-length field containing curve OID, formatted as follows
  o a one-octet size of the following field
  o octets representing curve OID, defined in section 11
a one-octet public key algorithm ID defined in section 5
a variable-length field containing KDF parameters, identical to the corresponding filed in the ECDH public key, formatted as follows
  o a one-octet size of the following fields; values 0 and 0xff are reserved for future extensions
  o a one-octet value 01, reserved for future extension
  o a one-octet hash function ID used with KDF
  o a one-octet algorithm ID for the symmetric algorithm used to wrap the symmetric key for message encryption, see section 8 for details
20 octets representing the UTF-8 encoding of the string "Anonymous Sender"
20 octets representing recipient encryption subkey or master key fingerprint, identifying the key material that is needed for decryption

For three curves defined in this specification the size of the key derivation parameters sequence, defined above, is either 54 or 51.

The key wrapping method is based on [RFC3394]. KDF produces the AES key that is used as KEK as specified in [RFC3394]. Refer to section 13 for the details regarding the choice of the KEK algorithm, which MUST be one of three AES algorithms.

The input to the key wrapping method is the value "m" derived from the session key as described in section 5.1. Public-Key Encrypted Session Key Packets (Tag 1) of [RFC4880], except, the PKCS#1.5 padding step is omitted. The result is padded using the method described in [PKCS5] to the 8-byte granularity. For example, a following AES-256 session key, which 32 octets are denoted from k0 to k31, is composed to form the following 40 octet sequence:

09 k0 k1 ... k31 c0 c1 05 05 05 05 05
The octets c0 and c1 above denote the checksum. This encoding allows the sender to obfuscate the size of the symmetric encryption key used to encrypt the data. To do this the sender MAY use 21, 13, and 5 bytes of padding for AES-128, AES-192, and AES-256, respectfully, to provide the same number of octets, 40 total, as an input to the key wrapping method.

The output of the method consists of two fields. The first field is the MPI with the ephemeral key used to establish shared secret. The second field is composed of the following two fields:

- a one octet, encoding the size in octets of the result of the key wrapping method; the value 255 is reserved for future extensions
- up to 254 octets representing the result of the key wrapping method, applied to the 8-byte padded session key, as described above

Note that for session key sizes 128, 192, and 256 bits the size of the result of the key wrapping method is, respectfully, 32, 40, and 48 octets, unless size obfuscation is used.

For convenience, the synopsis of the encoding method is given below, however, this section, [NIST SP800-56A], and [RFC3394] are the normative sources of the definition.

Obtain authenticated recipient public key R
Generate ephemeral key pair \{v, V=vG\}
Compute shared point \( S = vR \);
m = symm_alg_ID || session key || checksum || pkcs5_padding;
curve_OID_len = (byte)len(curve_OID);
Param = curve_OID_len || curve_OID || public_key_alg_ID || 03 ||
01 || KDF_hash_ID || AES_alg_ID for AESKeyWrap ||
"Anonymous Sender    " || recipient_fingerprint;
Z_len = key size for AES_alg_ID to be used with AESKeyWrap
Compute \( Z = KDF( S, Z_{len}, \text{Param} ) \);
Compute \( C = AESKeyWrap( Z, m ) \) as per [RFC3394]
VB = convert point V to octet string
Output (MPI(VB) || len(C) || C).

The decryption is the inverse of the method given. Note that the recipient obtains the shared secret by calculating

\[ S = rV = rvG, \text{ where } (r,R) \text{ is the recipient's key pair.} \]

Consistent with section 5.13 Sym. Encrypted Integrity Protected Data Packet (Tag 18) of [RFC4880], the MDC SHOULD be used anytime symmetric key is protected by ECDH.
9. Encoding of public and private keys

The following algorithm-specific packets are added to Section 5.5.2 Public-Key Packet Formats of [RFC4880] to support ECDH and ECDSA.

This algorithm-specific portion is:

Algorithm-Specific Fields for ECDH keys:

- a variable-length field containing curve OID, formatted as follows
  - a one-octet size of the following field; values 0 and 0xFF are reserved for future extensions
  - octets representing curve OID, defined in section 11
  - MPI of EC point representing public key

- a variable-length field containing KDF parameters, formatted as follows
  - a one-octet size of the following fields; values 0 and 0xff are reserved for future extensions
  - a one-octet value 01, reserved for future extension
  - a one-octet hash function ID used with KDF
  - a one-octet algorithm ID for the symmetric algorithm used to wrap the symmetric key used for message encryption; see section 8 for details

Algorithm-Specific Fields for ECDSA keys:

- a variable-length field containing curve OID, formatted as follows
  - a one-octet size of the following field; values 0 and 0xFF are reserved for future extensions
  - octets representing curve OID, defined in section 11
  - MPI of EC point representing public key

As an implementation note, observe that the ECDH public key fields are the super-set of the ECDH key fields.
The following algorithm-specific packets are added to section 5.5.3. Secret-Key Packet Formats of [RFC4880] to support ECDH and ECDSA.

Algorithm-Specific Fields for ECDH or ECDSA secret keys:

- MPI of an integer representing the secret key, which is a scalar of the EC point

10. Data encoding with public keys

Section 5.2.2. Version 3 Signature Packet Format defines signature formats. No changes in format are needed for ECDSA.

Section 5.1. Public-Key Encrypted Session Key Packets (Tag 1) is extended to support ECDH. The following two fields are result of applying KDF, as described in section 8.

Algorithm-Specific Fields for ECDH:

- an MPI of EC point representing ephemeral public key
- a one octet size, followed by a symmetric key encoded using the method described in section 8.

11. ECC curve OID

The parameter curve OID is an array of octets that define the named curve. The table bellow specifies the exact sequence of bytes for each named curve referenced in this specification:

<table>
<thead>
<tr>
<th>ASN.1 Object Identifier</th>
<th>OID len</th>
<th>Curve OID bytes in hexadecimal representation</th>
<th>Curve name in [FIPS 186-2]</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.2.840.10045.3.1.7</td>
<td>8</td>
<td>2A 86 48 CE 3D 03 01 07</td>
<td>NIST curve P-256</td>
</tr>
<tr>
<td>1.3.132.0.34</td>
<td>5</td>
<td>2B 81 04 00 22</td>
<td>NIST curve P-384</td>
</tr>
<tr>
<td>1.3.132.0.35</td>
<td>5</td>
<td>2B 81 04 00 23</td>
<td>NIST curve P-521</td>
</tr>
</tbody>
</table>

The sequence of octets in the third column is the result of applying Distinguished Encoding Rules (DER) to the ASN.1 Object Identifier with subsequent truncation. The truncation removes two fields of encoded Object Identifier. The first omitted field is one octet representing the Object Identifier tag and the second
omitted field is the length of the Object Identifier body. For example, the complete ASN.1 DER encoding for the NIST P-256 curve is "06 08 2A 86 48 CE 3D 03 01 07", from which the first entry in the table above is constructed by omitting the first two octets.

12. Compatibility profiles

12.1. OpenPGP ECC profile

Application MUST implement NIST curve P-256, MAY implement NIST curve P-384, and SHOULD implement NIST curve P-521, defined in section 11. Application MUST implement SHA2-256 and SHOULD implement SHA2-512. Application MUST implement AES-128 and SHOULD implement AES-256.

Application SHOULD follow section 13 regarding the choice of the following algorithms for each curve

- the KDF hash algorithm
- KEK algorithm
- message digest algorithm and hash algorithm used in key certifications
- symmetric algorithm used for message encryption.

It is recommended that the chosen symmetric algorithm for message encryption be no less secure than the KEK algorithm.

12.2. Suite-B profile

A subset of algorithms allowed by this specification can be used to achieve [Suite B] compatibility. The references to [Suite B] in this document are informative. This document is primarily concerned with format specification, leaving additional security restrictions unspecified, such as matching assigned security level of information to authorized recipients or interoperability concerns arising from fewer allowed algorithms in [Suite B] than allowed by [RFC4880].

12.2.1. Secret information

Applications MUST use NIST curves P-256 or P-384. KEK MUST be used with AES-128 or AES-256. KDF MUST be based on SHA2-256 or SHA2-384.

Note that the most secure algorithm applicable to each of 3 categories above is listed in the section 12.2.2.
12.2.2. Top Secret information

Application MUST use NIST curve P-384. KEK MUST be used with AES-256. KDF MUST be based on SHA2-384.

13. Security Considerations

The curves proposed in this document correspond to the symmetric key sizes 128 bits, 192 bits, and 256 bits as described in the table below. This allows OpenPGP application to offer balanced public key security which is compatible with symmetric key strength for each AES algorithms allowed by [RFC4880].

The following table defines the hash and symmetric encryption algorithm that SHOULD be used with specific curve for ECDSA or ECDH. Stronger hash algorithm or symmetric key algorithm MAY be used for a given ECC curve. However, note that the increase in the strength of the hash algorithm or symmetric key algorithm may not increase the overall security offered by the given ECC key.

<table>
<thead>
<tr>
<th>Curve name</th>
<th>ECC strength</th>
<th>RSA strength, informative</th>
<th>Hash size</th>
<th>Symmetric key size</th>
</tr>
</thead>
<tbody>
<tr>
<td>NIST curve P-256</td>
<td>256</td>
<td>3072</td>
<td>256</td>
<td>128</td>
</tr>
<tr>
<td>NIST curve P-384</td>
<td>384</td>
<td>7680</td>
<td>384</td>
<td>192</td>
</tr>
<tr>
<td>NIST curve P-521</td>
<td>521</td>
<td>15360</td>
<td>512</td>
<td>256</td>
</tr>
</tbody>
</table>

Requirement levels indicated elsewhere in this document lead to the following combinations of algorithms in OpenPGP profile: MUST implement NIST curve P-256 / SHA2-256 / AES-128, SHOULD implement NIST curve P-521 / SHA2-512 / AES-256, MAY implement NIST curve P-384 / SHA2-384 / AES-256, among other allowed combinations.

Consistent with the table above, the following table defines the KDF hash algorithm and AES KEK encryption algorithm that SHOULD be used with specific curve for ECDH. Stronger KDF hash algorithm or KEK algorithm MAY be used for a given ECC curve.
<table>
<thead>
<tr>
<th>Curve name</th>
<th>Recommended KDF hash algorithm</th>
<th>Recommended KEK encryption algorithm</th>
</tr>
</thead>
<tbody>
<tr>
<td>NIST curve P-256</td>
<td>SHA2-256</td>
<td>AES-128</td>
</tr>
<tr>
<td>NIST curve P-384</td>
<td>SHA2-384</td>
<td>AES-192</td>
</tr>
<tr>
<td>NIST curve P-521</td>
<td>SHA2-512</td>
<td>AES-256</td>
</tr>
</tbody>
</table>

Applications SHOULD implement, advertise through key preferences, and use in compliance with [RFC4880] strongest algorithms specified in this document.

Note that [RFC4880] symmetric algorithm preference list may restrict the use of balanced strength of symmetric key algorithms for corresponding public key. For example, the presence of symmetric key algorithms and their order in key preference list affects the choices available to encoding side for compliance with the table above. Therefore, applications need to be concerned with this compliance throughout the life of the key, starting immediately after key generation when the key preferences are first added to a key. It is generally advisable to have a symmetric algorithm of strength matching the public key at the head of the key preference list.

Often encryption to multiple recipients results in an unordered intersection subset. For example, given two recipients, if the first recipient's set is \{A, B\} and the second's is \{B, A\}, the intersection is unordered set of two algorithms A and B. In this case application SHOULD choose stronger encryption algorithm.

Resource constraint, such as limited computational power, is the likely reason why an application might prefer to use weakest algorithms. On the other side of the spectrum are applications that can implement every algorithm defined in this document. Most applications are expected to fall into either of two categories. An application in the second or strongest category SHOULD prefer AES-256 to AES-192.

While some statements in this specification refer to TripleDES algorithm, this is only done to help interoperability with existing application and already generated keys; AES-256 is the recommended alternative to TripleDES in all circumstances when AES-256 is available.
 SHA-1 MUST NOT be used for ECDSA or with KDF in ECDH method.

MDC MUST be used when symmetric encryption key is protected by ECDH. None of the ECC methods described in this document are allowed with deprecated V3 keys. The application MUST only use Iterated and Salted S2K to protect private keys, as defined in section 3.7.1.3 Iterated and Salted S2K of [RFC4880].

14. IANA Considerations

This document asks IANA to assign an algorithm number from OpenPGP Public-Key Algorithms range, or "name space" in the terminology of [RFC2434], that was created by [RFC4880]. Two ID numbers are requested, as defined in section 5. The first one with value 19 is already designated for ECDSA and currently unused, while another one is new (and suggested to be 18; there is an implementation advantage in having consecutive ID values for two complementary algorithms).

15. Normative references

[RFC2119] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", March 1997


[NIST SP800-56A] Elaine Barker, Don Johnson, and Miles Smid, "Recommendation for Pair-WiseKey Establishment Schemes Using Discrete Logarithm Cryptography (Revised)", March 2007

[FIPS 180-2] NIST, "SECURE HASH STANDARD", August 1, 2002


[PKCS5] RSA Laboratories, "PKCS #5 v2.0: Password-Based Cryptography Standard", March 25, 1999

Contributors

Hal Finney provided important criticism on compliance with [NIST SP800-56A] and [Suite B], and pointed out a few other mistakes.

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