OAuth Working Group	M. Jones
Internet-Draft	A. Nadalin
Intended status: Standards Track	Microsoft
Expires: January 9, 2017	B. Campbell
	J. Bradley
	Ping Identity
	C. Mortimore
	Salesforce
	July 8, 2016

# OAuth 2.0 Token Exchange: An STS for the REST of Us

draft-ietf-oauth-token-exchange-05

#### **Abstract**

This specification defines a protocol for a lightweight HTTP- and JSON- based Security Token Service (STS) by defining how to request and obtain security tokens from OAuth 2.0 authorization servers, including security tokens employing impersonation and delegation.

#### Status of This Memo

This Internet-Draft is submitted in full conformance with the provisions of BCP 78 and BCP 79.

Internet-Drafts are working documents of the Internet Engineering Task Force (IETF). Note that other groups may also distribute working documents as Internet-Drafts. The list of current Internet-Drafts is at http://datatracker.ietf.org/drafts/current/.

Internet-Drafts are draft documents valid for a maximum of six months and may be updated, replaced, or obsoleted by other documents at any time. It is inappropriate to use Internet-Drafts as reference material or to cite them other than as "work in progress."

This Internet-Draft will expire on January 9, 2017.

# **Copyright Notice**

Copyright (c) 2016 IETF Trust and the persons identified as the document authors. All rights reserved.

This document is subject to BCP 78 and the IETF Trust's Legal Provisions Relating to IETF Documents (http://trustee.ietf.org/license-info) in effect on the date of publication of this document. Please review these documents carefully, as they describe your rights and restrictions with respect to this document. Code Components extracted from this document must include Simplified BSD License text as described in Section 4.e of the Trust Legal Provisions and are provided without warranty as described in the Simplified BSD License.

#### **Table of Contents**

- 1. Introduction
  - 1.1. Delegation vs. Impersonation Semantics
  - 1.2. Requirements Notation and Conventions
  - 1.3. Terminology
- 2. Token Exchange Request and Response
  - 2.1. Request
  - 2.2. Response
    - 2.2.1. Successful Response
    - 2.2.2. Error Response
  - 2.3. Example Token Exchange
- 3. Token Type Identifiers
- 4. JSON Web Token Claims and Introspection Response Parameters
  - 4.1. "act" (Actor) Claim
  - 4.2. "scp" (Scopes) Claim
  - 4.3. "cid" (Client Identifier) Claim
  - 4.4. "may\_act" (May Act For) Claim
- 5. IANA Considerations
  - 5.1. OAuth URI Registration
    - 5.1.1. Registry Contents
  - 5.2. OAuth Parameters Registration
    - 5.2.1. Registry Contents
  - 5.3. OAuth Access Token Type Registration
    - 5.3.1. Registry Contents
  - 5.4. JSON Web Token Claims Registration
    - **5.4.1. Registry Contents**
  - 5.5. OAuth Token Introspection Response Registration
    - 5.5.1. Registry Contents
- 6. Security Considerations
- 7. References
  - 7.1. Normative References
  - 7.2. Informative References

#### **Appendix A. Additional Token Exchange Examples**

- A.1. Impersonation Token Exchange Example
  - A.1.1. Token Exchange Request
  - A.1.2. Subject Token Claims
  - A.1.3. Token Exchange Response
  - A.1.4. Issued Token Claims
- A.2. Delegation Token Exchange Example
  - A.2.1. Token Exchange Request
  - A.2.2. Subject Token Claims
  - A.2.3. Actor Token Claims
  - A.2.4. Token Exchange Response
  - A.2.5. Issued Token Claims
- Appendix B. Acknowledgements
- **Appendix C. Open Issues**
- **Appendix D. Document History**
- **Authors' Addresses**

#### 1. Introduction

A security token is a set of information that facilitates the sharing of identity and security information in

heterogeneous environments or across security domains. Examples of security tokens include JSON Web Tokens (JWTs) [JWT] and SAML Assertions [OASIS.saml-core-2.0-os]. Security tokens are typically signed to achieve integrity and sometimes also encrypted to achieve confidentiality. Security tokens are also sometimes described as Assertions, such as in [RFC7521].

A Security Token Service (STS) is a service capable of validating and issuing security tokens, which enables clients to obtain appropriate access credentials for resources in heterogeneous environments or across security domains. Web Service clients have used WS-Trust [WS-Trust] as the protocol to interact with an STS for token exchange, however WS-Trust is a fairly heavyweight protocol, which uses XML, SOAP, etc. Whereas, the trend in modern Web development has been towards lightweight services utilizing RESTful patterns and JSON. The OAuth 2.0 Authorization Framework [RFC6749] and OAuth 2.0 Bearer Tokens [RFC6750] have emerged as popular standards for authorizing and securing access to HTTP and RESTful resources but do not provide everything necessary to facilitate token exchange interactions.

This specification defines a lightweight protocol extending OAuth 2.0 that enables clients to request and obtain security tokens from authorization servers acting in the role of an STS. Similar to OAuth 2.0, this specification focuses on client developer simplicity and requires only an HTTP client and JSON parser, which are nearly universally available in modern development environments. The STS protocol defined in this specification is not itself RESTful (an STS doesn't lend itself particularly well to a REST approach) but does utilize communication patterns and data formats that should be familiar to developers accustomed to working with RESTful systems.

A new grant type for a token exchange request and the associated specific parameters for such a request to the token endpoint are defined by this specification. A token exchange response is a normal OAuth 2.0 response from the token endpoint with a few additional parameters defined herein to provide information to the client.

The entity that makes the request to exchange tokens is considered the client in the context of the token exchange interaction. However, that does not restrict usage of this profile to traditional OAuth clients. An OAuth resource server, for example, might assume the role of the client during token exchange in order to trade an access token, which it received in a protected resource request, for a new token that is appropriate to include in a call to a backend service. The new token might be an access token that is more narrowly scoped for the downstream service or it could be an entirely different kind of token.

The scope of this specification is limited to the definition of a basic request and response protocol for an STS-style token exchange utilizing OAuth 2.0. Although a few new JWT claims are defined that enable delegation semantics to be expressed, the specific syntax, semantics and security characteristics of the tokens themselves (both those presented to the AS and those obtained by the client) are explicitly out of scope and no requirements are placed on the trust model in which an implementation might be deployed. Additional profiles may provide more detailed requirements around the specific nature of the parties and trust involved, such as whether signing and/or encryption of tokens is required; however, such details will often be policy decisions made with respect to the specific needs of individual deployments and will be configured or implemented accordingly.

The security tokens obtained could be used in a number of contexts, the specifics of which are also beyond the scope of this specification.

# 1.1. Delegation vs. Impersonation Semantics

When principal A impersonates principal B, A is given all the rights that B has within some defined rights context and is indistinguishable from B in that context. Thus, when principal A impersonates principal B, then in so far as any entity receiving such a token is concerned, they are actually dealing with B. It is true that some members of the identity system might have awareness that impersonation is going on, but it is not a requirement. For all intents and purposes, when A is impersonating B, A is B.

Delegation semantics are different than impersonation semantics, though the two are closely related. With

delegation semantics, principal A still has its own identity separate from B and it is explicitly understood that while B may have delegated some of its rights to A, any actions taken are being taken by A representing B. In a sense, A is an agent for B.

Delegation and impersonation are not inclusive of all situations. When a principal is acting directly on its own behalf, for example, neither delegation nor impersonation are in play. They are, however, the more common semantics operating for token exchange and, as such, are given more direct treatment in this specification.

Delegation semantics are typically expressed in a token by including information about both the primary subject of the token as well as the actor to whom that subject has delegated some of its rights. Such a token is sometimes referred to as a composite token because it is composed of information about multiple subjects. A client can indicate the desire for a composite token by including a want\_composite parameter in the request with the value true. Typically, in the request, the subject\_token represents the identity of the party on behalf of whom the token is being requested while the actor\_token represents the identity of the party to whom the access rights of the issued token are being delegated. A composite token issued by the authorization server will contain information about both parties.

The specifics of representing a composite token and even whether or not such a token will be issued depend on the details of the implementation and the kind of token. The representations of composite tokens that are not JWTs are beyond the scope of this specification. The Section 4.1 request parameter, however, does provide a means for providing information about the desired actor though the representation of a chain of delegation using the JWT act claim.

#### 1.2. Requirements Notation and Conventions

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in RFC 2119 [RFC2119].

# 1.3. Terminology

This specification uses the terms "access token type", "authorization server", "client", "client identifier", "resource server", "token endpoint", "token request", and "token response" defined by OAuth 2.0 [RFC6749], and the terms "Claim" and "JWT Claims Set" defined by JSON Web Token (JWT) [JWT].

# 2. Token Exchange Request and Response

# 2.1. Request

A client requests a security token by making a token request to the authorization server's token endpoint using the extension grant type mechanism defined in Section 4.5 of OAuth 2.0 [RFC6749].

Client authentication to the authorization server is done using the normal mechanisms provided by OAuth 2.0. Section 2.3.1 of The OAuth 2.0 Authorization Framework [RFC6749] defines password-based authentication of the client, however, client authentication is extensible and other mechanisms are possible. For example, [RFC7523] defines client authentication using JSON Web Tokens (JWTs) [JWT]. The supported methods of client authentication and whether or not to allow unauthenticated or unidentified clients are deployment decisions that are at the discretion of the authorization server.

The client makes a token exchange request to the token endpoint with an extension grant type by including the following parameters using the application/x-www-form-urlencoded format with a character encoding of UTF-8 in the HTTP request entity-body:

grant type

#### resource

OPTIONAL. Indicates the physical location of the target service or resource where the client intends to use the requested security token. This enables the authorization server to apply policy as appropriate for the target, such as determining the type and content of the token to be issued or if and how the token is to be encrypted. In many cases, a client will not have knowledge of the logical organization of the systems with which it interacts and will only know the location of the service where it intends to use the token. The resource parameter allows the client to indicate to the authorization server where it intends to use the issued token by providing the location, typically as an https URL, in the token exchange request in the same form that will be used to access that resource. The authorization server will typically have the capability to map from a resource URI value to an appropriate policy. The value of the resource parameter MUST be an absolute URI, as specified by Section 4.3 of [RFC3986], which MAY include a query component and MUST NOT include a fragment component. Multiple resource parameters may be used to indicate that the issued token is intended to be used at the multiple resources listed.

#### audience

OPTIONAL. The logical name of the target service where the client intends to use the requested security token. This serves a purpose similar to the resource parameter, but with the client providing a logical name rather than a physical location. Interpretation of the name requires that the value be something that both the client and the authorization server understand. An OAuth client identifier, a SAML entity identifier [OASIS.saml-core-2.0-os], an OpenID Connect Issuer Identifier [OpenID.Core], or a URI are examples of things that might be used as audience parameter values. Multiple audience parameters may be used to indicate that the issued token is intended to be used at the multiple audiences listed. The audience and resource parameters may be used together to indicate multiple target services with a mix of logical names and physical locations.

#### scope

OPTIONAL. A list of space-delimited, case-sensitive strings that allow the client to specify the desired scope of the requested security token in the context of the service or resource where the token will be used.

#### requested token type

OPTIONAL. An identifier, as described in Section 3, for the type of the requested security token. For example, a JWT can be requested with the identifier urn:ietf:params:oauth:token-type:jwt. If the requested type is unspecified, the issued token type is at the discretion of the authorization server and may be dictated by knowledge of the requirements of the service or resource indicated by the resource or audience parameter.

#### subject\_token

REQUIRED. A security token that represents the identity of the party on behalf of whom the request is being made. Typically, the subject of this token will be the subject of the security token issued in response to this request.

#### subject\_token\_type

REQUIRED. An identifier, as described in Section 3, that indicates the type of the security token in the subject\_token parameter. For example, a value of urn:ietf:params:oauth:token-type:jwt, would indicate that the token is a JWT and a value of urn:ietf:params:oauth:token-type:access\_token would indicate that the token is an OAuth access token.

OPTIONAL. A security token that represents the identity of the party that is authorized to use the requested security token and act on behalf of the subject.

actor\_token\_type

An identifier, as described in Section 3, that indicates the type of the security token in the actor\_token parameter. This is REQUIRED when the actor\_token parameter is present in the request but MUST NOT be included otherwise.

want\_composite

OPTIONAL. When the value of this parameter is true, it indicates the client's desire for a composite security token to be issued, which contains claims about both the main subject of the token as well as about the party who is authorized to act on behalf of that subject. Note that this parameter only provides a means for the client to indicate its preference. The authorization server is not required to honor the stated preference and the nature of the tokens it issues are ultimately at its discretion.

In the absence of one-time-use or other semantics specific to the token type, the act of performing a token exchange has no impact on the validity of the subject token or actor token.

#### 2.2. Response

The authorization server responds to a token exchange request with a normal OAuth 2.0 response from the token endpoint, as specified in Section 5 of [RFC6749]. Additional details and explanation are provided in the following subsections.

#### 2.2.1. Successful Response

If the request is valid and meets all policy and other criteria of the authorization server, a successful token response is constructed by adding the following parameters to the entity-body of the HTTP response using the "application/json" media type, as specified by [RFC7159], and an HTTP 200 status code. The parameters are serialized into a JavaScript Object Notation (JSON) structure by adding each parameter at the top level. Parameter names and string values are included as JSON strings. Numerical values are included as JSON numbers. The order of parameters does not matter and can vary.

access\_token

REQUIRED. The security token issued by the authorization server in response to the token exchange request. The access\_token parameter from Section 5.1 of [RFC6749] is used here to carry the requested token, which allows this token exchange protocol to use the existing OAuth 2.0 request and response constructs defined for the token endpoint. The identifier access\_token is used for historical reasons and the issued token need not be an OAuth access token.

issued\_token\_type

REQUIRED. An identifier, as described in Section 3, for the representation of the issued security token. For example, a value of urn:ietf:params:oauth:token-type:access\_token indicates that the issued token is an access token and a value of urn:ietf:params:oauth:token-type:jwt indicates that it is a JWT.

token\_type

REQUIRED. A case-insensitive value specifying the method of using of the access token issued, as specified in Section 7.1 of [RFC6749]. It provides the client with information about how to utilize the access token to access protected resources. For example, a value of Bearer, as specified in [RFC6750], indicates that the security token is a bearer token and the client can simply present it as

is without any additional proof of eligibility beyond the contents of the token itself. Note that the meaning of this parameter is different from the meaning of the issued\_token\_type parameter, which declares the representation of the issued security token; the term "token type" is typically used with this meaning, as it is in all \*\_token\_type parameters in this specification. If the issued token is not an access token or usable as an access token, then the token\_type value N\_A is used to indicate that an OAuth 2.0 token type identifier is not applicable in that context.

expires in

RECOMMENDED. The validity lifetime, in seconds, of the token issued by the authorization server. Oftentimes the client will not have the inclination or capability to inspect the content of the token and this parameter provides a consistent and token type agnostic indication of how long the token can be expected to be valid. For example, the value 1800 denotes that the token will expire in thirty minutes from the time the response was generated.

scope

OPTIONAL, if the scope of the issued security token is identical to the scope requested by the client; otherwise, REQUIRED.

refresh\_token

OPTIONAL. A refresh token will typically not be issued when the the exchange is of one temporary credential (the subject\_token) for a different temporary credential (the issued token) for use in some other context. A refresh token can be issued in cases where the client of the token exchange needs the ability to access a resource even when the original credential is no longer valid (e.g. user-not-present or offline scenarios where there is no longer any user entertaining an active session with the client). Profiles or deployments of this specification should clearly document the conditions under which a client should expect a refresh token in response to urn:ietf:params:oauth:grant-type:token-exchange grant type requests.

# 2.2.2. Error Response

If either the subject\_token or actor\_token are invalid for any reason, or are unacceptable based on policy, the authorization server MUST construct an error response, as specified in Section 5.2 of [RFC6749]. The value of the error parameter MUST be the invalid\_request error code. The authorization server MAY include additional information regarding the reasons for the error using the error\_description and/or error\_uri parameters. Other error codes may also be used, as appropriate.

# 2.3. Example Token Exchange

The following example demonstrates a hypothetical token exchange in which an OAuth resource server assumes the role of the client during token exchange in order to trade an access token that it received in a request for a token that it will use to call to a backend service (extra line breaks and indentation in the examples are for display purposes only).

The resource server receives the following request containing an OAuth access token in the Authorization request header, as specified in Section 2.1 of [RFC6750].

GET /resource HTTP/1.1 Host: frontend.example.com

Authorization: Bearer accVkjcJyb4BWCxGsndESCJQbdFMogUC5PbRDqceLTC

Figure 1: Protected Resource Request

The resource server assumes the role of the client for the token exchange and the access token from the request above is sent to the authorization server using a request as specified in Section 2.1. The value of

the subject\_token parameter carries the access token and the value of the subject\_token\_type parameter indicates that it is an OAuth 2.0 access token. The resource server, acting as the client, uses its identifier and secret to authenticate to the authorization server using the HTTP Basic authentication scheme. The resource parameter indicates the location of the backend service, https://backend.example.com/api, where the issued token will be used.

```
POST /as/token.oauth2 HTTP/1.1
Host: as.example.com
Authorization: Basic cnMwODpsb25nLXNlY3VyZS1yYW5kb20tc2VjcmV0
Content-Type: application/x-www-form-urlencoded

grant_type=urn%3Aietf%3Aparams%3Aoauth%3Agrant-type%3Atoken-exchange
&resource=https%3A%2F%2Fbackend.example.com%2Fapi%20
&subject_token=accVkjcJyb4BWCxGsndESCJQbdFMogUC5PbRDqceLTC
&subject_token_type=
urn%3Aietf%3Aparams%3Aoauth%3Atoken-type%3Aaccess_token
```

Figure 2: Token Exchange Request

The authorization server validates the client credentials and the subject\_token presented in the token exchange request. From the resource parameter, the authorization server is able to determine the appropriate policy to apply to the request and issues a token suitable for use at https://backend.example.com. The access\_token parameter of the response contains the new token, which is itself a bearer OAuth access token that is valid for one minute. The token happens to be a JWT; however, its structure and format are opaque to the client so the issued\_token\_type indicates only that it is an access token.

```
HTTP/1.1 200 OK
Content-Type: application/json
Cache-Control: no-cache, no-store

{
    "access_token":"eyJhbGciOiJFUzI1NiIsImtpZCI6IjllciJ9.eyJhdWQiOiJo
    dHRwczovL2JhY2tlbmQuZXhhbXBsZS5jb20iLCJpc3MiOiJodHRwczovL2FzLmV
    4YW1wbGUuY29tliwiZXhwljoxNDQxOTE3NTkzLCJpYXQiOjE0NDE5MTc1MzMsIn
    N1YiI6ImJjQGV4YW1wbGUuY29tliwic2NwljpbImFwaSJdfQ.MXgnpvPMo0nhce
    PwnQbunD2gw_pDyCFA-Saobl6gyLAdyPbaALFuAOyFc4XTWaPEnHV_LGmXkISTp
    z0yC7hISQ",
    "issued_token_type":
        "urn:ietf:params:oauth:token-type:access_token",
    "token_type":"Bearer",
    "expires_in":60
}
```

Figure 3: Token Exchange Response

The resource server can then use the newly acquired access token in making a request to the backend server.

```
GET /api HTTP/1.1

Host: backend.example.com

Authorization: Bearer eyJhbGciOiJFUzI1NiIsImtpZCI6IjllciJ9.eyJhdWQ

iOiJodHRwczovL2JhY2tlbmQuZXhhbXBsZS5jb20iLCJpc3MiOiJodHRwczovL2

FzLmV4YW1wbGUuY29tIiwiZXhwIjoxNDQxOTE3NTkzLCJpYXQiOjE0NDE5MTc1M

zMsInN1YiI6ImJjQGV4YW1wbGUuY29tIiwic2NwIjpbImFwaSJdfQ.MXgnpvPMo

0nhcePwnQbunD2gw_pDyCFA-Saobl6gyLAdyPbaALFuAOyFc4XTWaPEnHV_LGmX
```

Figure 4: Backend Protected Resource Request

Additional examples can be found in Appendix A.

### 3. Token Type Identifiers

Several parameters in this specification utilize an identifier as the value to describe the type of token in question. Specifically, they are the requested\_token\_type, subject\_token\_type, actor\_token\_type parameters of the request and the issued token type member of the response. Token type identifiers are URIs.

This specification defines the token type identifiers urn:ietf:params:oauth:token-type:access\_token and urn:ietf:params:oauth:token-type:refresh\_token to indicate that the token is an OAuth 2.0 access token or refresh token, respectively. The value urn:ietf:params:oauth:token-type:jwt defined in Section 9 of [JWT] indicates that the token is a JWT. This specification also defines the token type identifier urn:ietf:params:oauth:token-type:id\_token to indicate that the token is an ID Token, as defined in Section 2 of [OpenID.Core]. Other URIs to indicate other token types MAY be used.

The distinction between an access token and a JWT is subtle. An access token represents a delegated authorization decision, whereas JWT is a token format. An access token can be formatted as a JWT but doesn't necessarily have to be. And a JWT might well be an access token but not all JWTs are access tokens. The intent of this specification is that urn:ietf:params:oauth:token-type:access\_token be an indicator that the token is a typical OAuth access token issued by the authorization server in question, opaque to the client, and usable the same manner as any other access token obtained from that authorization server (it could well be a JWT but the client isn't and needn't be aware of that fact). Whereas urn:ietf:params:oauth:token-type:jwt is to indicate specifically that a JWT is being requested or sent (perhaps in a cross-domain use-case where the JWT is used as an authorization grant to obtain an access token from a different authorization server as is facilitated by [RFC7523]).

# 4. JSON Web Token Claims and Introspection Response Parameters

It is useful to have defined mechanisms to express delegation within a token as well as to express authorization to delegate or impersonate. Although the token exchange protocol described herein can be used with any type of token, this section defines claims to express such semantics specifically for JWTs and in an OAuth 2.0 Token Introspection [RFC7662] response. Similar definitions for other types of tokens are possible but beyond the scope of this specification.

# 4.1. "act" (Actor) Claim

The act (actor) claim provides a means within a JWT to express that delegation has occurred and identify the acting party to whom authority has been delegated. The act claim value is a JSON object and members in the JSON object are claims that identify the actor. The claims that make up the act claim identify and possibly provide additional information about the actor. For example, the combination of the two claims iss and sub might be necessary to uniquely identify an actor.

However, claims within the act claim pertain only to the identity of the actor and are not relevant to the validity of the containing JWT in the same manner as the top-level claims. Consequently, claims such as exp, nbf, and aud are not meaningful when used within an act claim, and therefore should not be used.

The following example illustrates the act (actor) claim within a JWT Claims Set. The claims of the token itself are about user@example.com while the act claim indicates that admin@example.com is the current actor.

```
{
    "aud":"https://consumer.example.com",
```

```
"iss":"https://issuer.example.com",

"exp":1443904177,

"nbf":1443904077,

"sub":"user@example.com",

"act":

{
    "sub":"admin@example.com"
}
```

Figure 5: Actor Claim

A chain of delegation can be expressed by nesting one act claim within another. The outermost act claim represents the current actor while nested act claims represent prior actors. The least recent actor is the most deeply nested.

The following example illustrates nested act (actor) claims within a JWT Claims Set. The claims of the token itself are about user@example.com while the act claim indicates that the system consumer.example.com-web-application is the current actor and admin@example.com was a prior actor. Such a token might come about as the result of the web application receiving a token like the one in the previous example and exchanging it for a new token that lists it as the current actor and that can be used at https://backend.example.com.

```
{
    "aud":"https://backend.example.com",
    "iss":"https://issuer.example.com",
    "exp":1443904100,
    "nbf":1443904000,
    "sub":"user@example.com",
    "act":
    {
        "sub":"consumer.example.com-web-application",
        "iss":"https://issuer.example.net",
        "act":
        {
                  "sub":"admin@example.com"
        }
     }
}
```

Figure 6: Nested Actor Claim

When included as a top-level member of an OAuth token introspection response, act has the same semantics and format as the the claim of the same name.

# 4.2. "scp" (Scopes) Claim

The scp claim is an array of strings, each of which represents an OAuth scope granted for the issued security token. Each array entry of the claim value is a scope-token, as defined in Section 3.3 of OAuth 2.0 [RFC6749].

The following example illustrates the scp claim within a JWT Claims Set with four scope-tokens.

```
{
    "aud":"https://consumer.example.com",
```

```
"iss":"https://issuer.example.com",

"exp":1443904177,

"nbf":1443904077,

"sub":"dgaf4mvfs75Fci_FL3heQA",

"scp":["email","address","profile","phone"]
}
```

Figure 7: Scopes Claim

OAuth 2.0 Token Introspection [RFC7662] defines the scope parameter to convey the scopes associated with the token.

# 4.3. "cid" (Client Identifier) Claim

The cid claim carries the client identifier of the OAuth 2.0 [RFC6749] client that requested the token.

The following example illustrates the cid claim within a JWT Claims Set indicating an OAuth 2.0 client with "s6BhdRkgt3" as its identifier.

```
{
  "aud":"https://consumer.example.com",
  "iss":"https://issuer.example.com",
  "exp":1443904177,
  "sub":"user@example.com",
  "cid":"s6BhdRkqt3"
}
```

Figure 8: Client Identifier Claim

OAuth 2.0 Token Introspection [RFC7662] defines the client\_id parameter as the client identifier for the OAuth 2.0 client that requested the token.

# 4.4. "may\_act" (May Act For) Claim

The may\_act claim makes a statement that one party is authorized to become the actor and act on behalf of another party. The claim value is a JSON object and members in the JSON object are claims that identify the party that is asserted as being eligible to act for the party identified by the JWT containing the claim. The claims that make up the may\_act claim identify and possibly provide additional information about the authorized actor. For example, the combination of the two claims iss and sub are sometimes necessary to uniquely identify an authorized actor, while the email claim might be used to provide additional useful information about that party.

However, claims within the may\_act claim pertain only to the identity of that party and are not relevant to the validity of the containing JWT in the same manner as top level claims. Consequently, claims such as exp, nbf, and aud are not meaningful when used within a may\_act claim, and therefore should not be used.

The following example illustrates the may\_act claim within a JWT Claims Set. The claims of the token itself are about user@example.com while the may\_act claim indicates that admin@example.com is authorized to act on behalf of user@example.com.

```
{
  "aud":"https://consumer.example.com",
  "iss":"https://issuer.example.com",
  "exp":1443904177,
  "nbf":1443904077,
  "sub":"user@example.com",
```

```
"may_act":
{
    "sub":"admin@example.com"
}
```

Figure 9: May Act For Claim

When included as a top-level member of an OAuth token introspection response, may\_act has the same semantics and format as the claim of the same name.

#### 5. IANA Considerations

#### 5.1. OAuth URI Registration

This specification registers the following values in the IANA "OAuth URI" registry [IANA.OAuth.Parameters] established by [RFC6755].

#### 5.1.1. Registry Contents

- URN: urn:ietf:params:oauth:grant-type:token-exchange
- Common Name: Token exchange grant type for OAuth 2.0
- Change controller: IESG
- Specification Document: Section 2.1 of [[ this specification ]]
- URN: urn:ietf:params:oauth:token-type:access\_token
- Common Name: Token type URI for an OAuth 2.0 access token
- Change controller: IESG
- Specification Document: Section 3 of [[this specification]]
- URN: urn:ietf:params:oauth:token-type:refresh\_token
- Common Name: Token Type URI for an OAuth 2.0 refresh token
- Change controller: IESG
- Specification Document: Section 3 of [[this specification]]
- URN: urn:ietf:params:oauth:token-type:id\_token
- Common Name: Token Type URI for an ID Token
- Change controller: IESG
- Specification Document: Section 3 of [[this specification]]

# 5.2. OAuth Parameters Registration

This specification registers the following values in the IANA "OAuth Parameters" registry [IANA.OAuth.Parameters] established by [RFC6749].

# 5.2.1. Registry Contents

- Parameter name: resource
- Parameter usage location: token request
- Change controller: IESG
- Specification document(s): Section 2.1 of [[ this specification ]]
- Parameter name: audience
- Parameter usage location: token request
- Change controller: IESG
- Specification document(s): Section 2.1 of [[ this specification ]]

- Parameter name: requested\_token\_type
- Parameter usage location: token request
- Change controller: IESG
- Specification document(s): Section 2.1 of [[ this specification ]]
- Parameter name: subject token
- Parameter usage location: token request
- Change controller: IESG
- Specification document(s): Section 2.1 of [[ this specification ]]
- Parameter name: subject token type
- Parameter usage location: token request
- Change controller: IESG
- Specification document(s): Section 2.1 of [[ this specification ]]
- Parameter name: actor token
- Parameter usage location: token request
- Change controller: IESG
- Specification document(s): Section 2.1 of [[ this specification ]]
- Parameter name: actor\_token\_type
- Parameter usage location: token request
- Change controller: IESG
- Specification document(s): Section 2.1 of [[ this specification ]]
- Parameter name: want\_composite
- Parameter usage location: token request
- Change controller: IESG
- Specification document(s): Section 2.1 of [[ this specification ]]
- Parameter name: issued\_token\_type
- Parameter usage location: token response
- Change controller: IESG
- Specification document(s): Section 2.2.1 of [[ this specification ]]

# 5.3. OAuth Access Token Type Registration

This specification registers the following access token type in the IANA "OAuth Access Token Types" registry [IANA.OAuth.Parameters] established by [RFC6749].

# 5.3.1. Registry Contents

- Type name: N\_A
- Additional Token Endpoint Response Parameters: (none)
- HTTP Authentication Scheme(s): (none)
- Change controller: IESG
- Specification document(s): Section 2.2.1 of [[ this specification ]]

# 5.4. JSON Web Token Claims Registration

This specification registers the following Claims in the IANA "JSON Web Token Claims" registry [IANA.JWT.Claims] established by [JWT].

# 5.4.1. Registry Contents

Claim Name: act

Claim Description: ActorChange Controller: IESG

Specification Document(s): Section 4.1 of [[ this specification ]]

• Claim Name: scp

Claim Description: Scope Values

Change Controller: IESG

Specification Document(s): Section 4.2 of [[ this specification ]]

• Claim Name: cid

• Claim Description: Client Identifier

Change Controller: IESG

Specification Document(s): Section 4.3 of [[ this specification ]]

Claim Name: may\_act

Claim Description: May Act For

Change Controller: IESG

• Specification Document(s): Section 4.4 of [[ this specification ]]

### 5.5. OAuth Token Introspection Response Registration

This specification registers the following values in the IANA "OAuth Token Introspection Response" registry [IANA.OAuth.Parameters] established by [RFC7662].

# 5.5.1. Registry Contents

Claim Name: act

Claim Description: ActorChange Controller: IESG

Specification Document(s): Section 4.1 of [[ this specification ]]

Claim Name: may\_act

Claim Description: May Act For

• Change Controller: IESG

• Specification Document(s): Section 4.4 of [[ this specification ]]

# 6. Security Considerations

All of the normal security issues that are discussed in [JWT], especially in relationship to comparing URIs and dealing with unrecognized values, also apply here.

In addition, both delegation and impersonation introduce unique security issues. Any time one principal is delegated the rights of another principal, the potential for abuse is a concern. The use of the scp claim is suggested to mitigate potential for such abuse, as it restricts the contexts in which the delegated rights can be exercised.

#### 7. References

#### 7.1. Normative References

[IANA.JWT.Claims] IANA, "JSON Web Token Claims"

[IANA.OAuth.Parameters] IANA, "OAuth Parameters"

[JWT] Jones, M., Bradley, J. and N. Sakimura, "JSON Web Token (JWT)", RFC 7519,

DOI 10.17487/RFC7519, May 2015.

[RFC2119] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", BCP

14, RFC 2119, DOI 10.17487/RFC2119, March 1997.

[RFC3986] Berners-Lee, T., Fielding, R. and L. Masinter, "Uniform Resource Identifier (URI):

Generic Syntax", STD 66, RFC 3986, DOI 10.17487/RFC3986, January 2005.

[RFC6749] Hardt, D., "The OAuth 2.0 Authorization Framework", RFC 6749, DOI

10.17487/RFC6749, October 2012.

[RFC7159] Bray, T., "The JavaScript Object Notation (JSON) Data Interchange Format", RFC

7159, DOI 10.17487/RFC7159, March 2014.

[RFC7662] Richer, J., "OAuth 2.0 Token Introspection", RFC 7662, DOI 10.17487/RFC7662,

October 2015.

#### 7.2. Informative References

[OASIS.saml-core-2.0-os] Cantor, S., Kemp, J., Philpott, R. and E. Maler, "Assertions and Protocol for the

OASIS Security Assertion Markup Language (SAML) V2.0", OASIS Standard

saml-core-2.0-os, March 2005.

[OpenID.Core] Sakimura, N., Bradley, J., Jones, M., de Medeiros, B. and C. Mortimore, "OpenID

Connect Core 1.0", November 2014.

[RFC6750] Jones, M. and D. Hardt, "The OAuth 2.0 Authorization Framework: Bearer Token

Usage", RFC 6750, DOI 10.17487/RFC6750, October 2012.

[RFC6755] Campbell, B. and H. Tschofenig, "An IETF URN Sub-Namespace for OAuth", RFC

6755, DOI 10.17487/RFC6755, October 2012.

[RFC7521] Campbell, B., Mortimore, C., Jones, M. and Y. Goland, "Assertion Framework for

OAuth 2.0 Client Authentication and Authorization Grants", RFC 7521, DOI

10.17487/RFC7521, May 2015.

[RFC7523] Jones, M., Campbell, B. and C. Mortimore, "JSON Web Token (JWT) Profile for

OAuth 2.0 Client Authentication and Authorization Grants", RFC 7523, DOI

10.17487/RFC7523, May 2015.

[WS-Trust] Nadalin, A., Goodner, M., Gudgin, M., Barbir, A. and H. Grangvist, "WS-Trust 1.4",

February 2012.

# Appendix A. Additional Token Exchange Examples

Two example token exchanges are provided in the following sections illustrating impersonation and delegation, respectively (with extra line breaks and indentation for display purposes only).

# A.1. Impersonation Token Exchange Example

# A.1.1. Token Exchange Request

In the following token exchange request, an anonymous client is requesting a token with impersonation semantics. The client tells the authorization server that it needs a token for use at the target service with the logical name urn:example:cooperation-context.

POST /as/token.oauth2 HTTP/1.1

Host: as.example.com

Content-Type: application/x-www-form-urlencoded

grant\_type=urn%3Aietf%3Aparams%3Aoauth%3Agrant-type%3Atoken-exchange

&audience=urn%3Aexample%3Acooperation-context

 $\& subject\_token = eyJhbGciOiJFUzI1NiIsImtpZCI6IjE2In0.eyJhdWQiOiJodHRwc$ 

zovL2FzLmV4YW1wbGUuY29tliwiaXNzIjoiaHR0cHM6Ly9vcmlnaW5hbC1pc3N1ZXI uZXhhbXBsZS5uZXQiLCJleHAiOjE0NDE5MTA2MDAsIm5iZiI6MTQ0MTkwOTAwMCwic 3ViljoiYmNAZXhhbXBsZS5uZXQiLCJzY3AiOlsib3JkZXJzIiwicHJvZmlsZSIsImh pc3RvcnkiXX0.JDe7fZ267iIRXwbFmOugyCt5dmGoy6EeuzNQ3MqDek5cCUlyPhQC6 cz9laKjK1bnjMQbLJqWix6ZdBI0isjsTA &subject\_token\_type=urn%3Aietf%3Aparams%3Aoauth%3Atoken-type%3Ajwt

Figure 10: Token Exchange Request

### A.1.2. Subject Token Claims

The subject\_token in the prior request is a JWT and the decoded JWT Claims Set is shown here. The JWT is intended for consumption by the authorization server within a specific time window. The subject of the JWT (bc@example.net) is the party on behalf of whom the new token is being requested.

```
{
  "aud":"https://as.example.com",
  "iss":"https://original-issuer.example.net",
  "exp":1441910600,
  "nbf":1441909000,
  "sub":"bc@example.net",
  "scp":["orders","profile","history"]
}
```

Figure 11: Subject Token Claims

### A.1.3. Token Exchange Response

The access\_token parameter of the token exchange response shown below contains the new token that the client requested. The other parameters of the response indicate that the token is a bearer access token that expires in an hour.

```
HTTP/1.1 200 OK
Content-Type: application/json
Cache-Control: no-cache, no-store

{
    "access_token":"eyJhbGciOiJFUzI1NiIsImtpZCI6IjcyIn0.eyJhdWQiOiJ1cm4
    6ZXhhbXBsZTpjb29wZXJhdGlvbi1jb250ZXh0IiwiaXNzIjoiaHR0cHM6Ly9hcy5I
    eGFtcGxILmNvbSIsImV4cCI6MTQ0MTkxMzYxMCwic3ViIjoiYmNAZXhhbXBsZS5uZ
    XQiLCJzY3AiOIsib3JkZXJzIiwiaGlzdG9yeSIsInByb2ZpbGUiXX0.YQHuLmI1YD
    TugbfEvgGY2gaGBmMyj9BepZSECCBE9j9ogqZv2qx6VQQPrbT1k7vBYGLNMOkkpmm
    JkxZDS0YV7g",
    "issued_token_type":
    "urn:ietf:params:oauth:token-type:access_token",
    "token_type":"Bearer",
    "expires_in":3600
}
```

Figure 12: Token Exchange Response

#### A.1.4. Issued Token Claims

The decoded JWT Claims Set of the issued token is shown below. The new JWT is issued by the authorization server and intended for consumption by a system entity known by the logical name

urn:example:cooperation-context any time before its expiration. The subject (sub) of the JWT is the same as the subject the token used to make the request, which effectively enables the client to impersonate that subject at the system entity known by the logical name of urn:example:cooperation-context by using the token.

```
{
  "aud":"urn:example:cooperation-context",
  "iss":"https://as.example.com",
  "exp":1441913610,
  "sub":"bc@example.net",
  "scp":["orders","history","profile"]
}
```

Figure 13: Issued Token Claims

### A.2. Delegation Token Exchange Example

#### A.2.1. Token Exchange Request

In the following token exchange request, an anonymous client is requesting a token with delegation semantics, which is indicated by the inclusion of the want\_composite parameter. The client tells the authorization server that it needs a token for use at the target service with the logical name urn:example:cooperation-context.

```
POST /as/token.oauth2 HTTP/1.1
Host: as.example.com
Content-Type: application/x-www-form-urlencoded
grant_type=urn%3Aietf%3Aparams%3Aoauth%3Agrant-type%3Atoken-exchange
&audience=urn%3Aexample%3Acooperation-context
&want composite=true
&subject_token=eyJhbGciOiJFUzI1NilsImtpZCl6ljE2In0.eyJhdWQiOiJodHRwc
 zovL2FzLmV4YW1wbGUuY29tliwiaXNzIjoiaHR0cHM6Ly9vcmlnaW5hbC1pc3N1ZXI
 uZXhhbXBsZS5uZXQiLCJleHAiOjE0NDE5MTAwNjAsInNjcCl6WyJzdGF0dXMiLCJmZ
 WVkII0sInN1YiI6InVzZXJAZXhhbXBsZS5uZXQiLCJtYXIfYWN0Ijp7InN1YiI6ImF
 kbWluQGV4YW1wbGUubmV0In19.ut0Ll7wm920VzRvuLGLFoPJLeO5DDElxsax1L_xK
 Um2eooiNSfuif-OGa2382hPyFYnddKIa0wmDhQksW018Rw
&subject_token_type=urn%3Aietf%3Aparams%3Aoauth%3Atoken-type%3Ajwt
&actor_token=eyJhbGciOiJFUzI1NiIsImtpZCl6ljE2In0.eyJhdWQiOiJodHRwczo
 vL2FzLmV4YW1wbGUuY29tliwiaXNzljoiaHR0cHM6Ly9vcmlnaW5hbC1pc3N1ZXIuZ
 XhhbXBsZS5uZXQiLCJleHAiOjE0NDE5MTAwNjAsInN1Yil6ImFkbWluQGV4YW1wbGU
 ubmV0In0.7YQ-3zPfhUvzje5oqw8COCvN5uP6NsKik9CVV6cAOf4QKgM-tKfiOwcgZ
 oUuDL2tEs6tqPlcBlMjiSzEjm3yBg
&actor_token_type=urn%3Aietf%3Aparams%3Aoauth%3Atoken-type%3Ajwt
```

Figure 14: Token Exchange Request

# A.2.2. Subject Token Claims

The subject\_token in the prior request is a JWT and the decoded JWT Claims Set is shown here. The JWT is intended for consumption by the authorization server before a specific expiration time. The subject of the JWT (user@example.net) is the party on behalf of whom the new token is being requested.

```
{
"aud":"https://as.example.com",
```

```
"iss":"https://original-issuer.example.net",
"exp":1441910060,
"scp":["status","feed"],
"sub":"user@example.net",
"may_act":
{
    "sub":"admin@example.net"
}
```

Figure 15: Subject Token Claims

#### A.2.3. Actor Token Claims

The actor\_token in the prior request is a JWT and the decoded JWT Claims Set is shown here. This JWT is also intended for consumption by the authorization server before a specific expiration time. The subject of the JWT (admin@example.net) is the actor that will wield the security token being requested.

```
{
  "aud":"https://as.example.com",
  "iss":"https://original-issuer.example.net",
  "exp":1441910060,
  "sub":"admin@example.net"
}
```

Figure 16: Actor Token Claims

### A.2.4. Token Exchange Response

The access\_token parameter of the token exchange response shown below contains the new token that the client requested. The other parameters of the response indicate that the token is a JWT that expires in an hour and that the access token type is not applicable since the issued token is not an access token.

```
HTTP/1.1 200 OK
Content-Type: application/json
Cache-Control: no-cache, no-store

{
    "access_token":"eyJhbGciOiJFUzI1NiIsImtpZCI6ljcyIn0.eyJhdWQiOiJ1cm4
    6ZXhhbXBsZTpjb29wZXJhdGlvbi1jb250ZXh0liwiaXNzIjoiaHR0cHM6Ly9hcy5l
    eGFtcGxlLmNvbSIsImV4cCI6MTQ0MTkxMzYxMCwic2NwljpblnN0YXR1cyIsImZIZ
    WQiXSwic3ViljoidXNlckBleGFtcGxlLm5ldCIsImFjdCI6eyJzdWliOiJhZG1pbk
    BleGFtcGxlLm5ldCJ9fQ._qjM7lj_HcrC78omT4jiZTFJOuzsAj1wPo31ymQS-Suq
    r64S1jCp6pfQR-in_OOAosAGamEg4jyPsht6kMAiYA",
    "issued_token_type":"urn:ietf:params:oauth:token-type:jwt",
    "token_type":"N_A",
    "expires_in":3600
}
```

Figure 17: Token Exchange Response

#### A.2.5. Issued Token Claims

The decoded JWT Claims Set of the issued token is shown below. The new JWT is issued by the authorization server and intended for consumption by a system entity known by the logical name

urn:example:cooperation-context any time before its expiration. The subject (sub) of the JWT is the same as the subject of the subject\_token used to make the request. The actor (act) of the JWT is the same as the subject of the actor\_token used to make the request. This indicates delegation and identifies admin@example.net as the current actor to whom authority has been delegated to act on behalf of user@example.net.

```
{
  "aud":"urn:example:cooperation-context",
  "iss":"https://as.example.com",
  "exp":1441913610,
  "scp":["status","feed"],
  "sub":"user@example.net",
  "act":
  {
    "sub":"admin@example.net"
  }
}
```

Figure 18: Issued Token Claims

#### Appendix B. Acknowledgements

This specification was developed within the OAuth Working Group, which includes dozens of active and dedicated participants. It was produced under the chairmanship of Hannes Tschofenig and Derek Atkins with Kathleen Moriarty and Stephen Farrell serving as Security Area Directors. The following individuals contributed ideas, feedback, and wording to this specification:

Caleb Baker, Vittorio Bertocci, Thomas Broyer, William Denniss, Vladimir Dzhuvinov, Phil Hunt, Jason Keglovitz, Adam Lewis, Nov Matake, Matt Miller, Matthew Perry, Justin Richer, Rifaat Shekh-Yusef, Scott Tomilson, and Hannes Tschofenig.

# Appendix C. Open Issues

The following decisions need to be made and updates to this spec performed:

 Understand and define exactly how the presentation of PoP/non-bearer tokens works. Of course, the specifications defining these kinds of tokens need to do so first before there is much we can do in this specification in this regard.

# **Appendix D. Document History**

[[ to be removed by the RFC Editor before publication as an RFC ]]

-05

- Defined the JWT claim cid to express the OAuth 2.0 client identifier of the client that requested the token.
- Defined and requested registration for act and may\_act as Token introspection response parameters (in addition to being JWT claims).
- Loosen up the language about refresh\_token in the response to OPTIONAL from NOT RECOMMENDED based on feedback form real world deployment experience.
- Add clarifying text about the distinction between JWT and access token URIs.
- Close out (remove) some of the Open Issues bullets that have been resolved.

- Clarified that the "resource" and "audience" request parameters can be used at the same time (via http://www.ietf.org/mail-archive/web/oauth/current/msg15335.html).
- Clarified subject/actor token validity after token exchange and explained a bit more about the recommendation to not issue refresh tokens (via http://www.ietf.org/mailarchive/web/oauth/current/msg15318.html).
- Updated the examples appendix to use an issuer value that doesn't imply that the client issued and signed the tokens and used "Bearer" and "urn:ietf:params:oauth:token-type:access\_token" in one of the responses (via http://www.ietf.org/mail-archive/web/oauth/current/msg15335.html).
- Defined and registered urn:ietf:params:oauth:token-type:id\_token, since some use cases perform token exchanges for ID Tokens and no URI to indicate that a token is an ID Token had previously been defined.

-03

- Updated the document editors (adding Campbell, Bradley, and Mortimore).
- Added to the title.
- Added to the abstract and introduction.
- Updated the format of the request to use application/x-www-form-urlencoded request parameters and the response to use the existing token endpoint JSON parameters defined in OAuth 2.0.
- Changed the grant type identifier to urn:ietf:params:oauth:grant-type:token-exchange.
- Added RFC 6755 registration requests for urn:ietf:params:oauth:token-type:refresh\_token, urn:ietf:params:oauth:token-type:access\_token, and urn:ietf:params:oauth:grant-type:token-exchange.
- Added RFC 6749 registration requests for request/response parameters.
- Removed the Implementation Considerations and the requirement to support JWTs.
- Clarified many aspects of the text.
- Changed on\_behalf\_of to subject\_token, on\_behalf\_of\_token\_type to subject\_token\_type, act\_as to actor\_token, and act\_as\_token\_type to actor\_token\_type.
- Added an audience request parameter used to indicate the logical names of the target services at which the client intends to use the requested security token.
- Added a want\_composite request parameter used to indicate the desire for a composite token rather than trying to infer it from the presence/absence of token(s) in the request.
- Added a resource request parameter used to indicate the URLs of resources at which the client intends to use the requested security token.
- Specified that multiple audience and resource request parameter values may be used.
- Defined the JWT claim act (actor) to express the current actor or delegation principal.
- Defined the JWT claim may\_act to express that one party is authorized to act on behalf of another party.
- Defined the JWT claim scp (scopes) to express OAuth 2.0 scope-token values.
- Added the N\_A (not applicable) OAuth Access Token Type definition for use in contexts in which the
  token exchange syntax requires a token\_type value, but in which the token being issued is not an
  access token.
- Added examples.

-02

- Enabled use of Security Token types other than JWTs for act as and on behalf of request values.
- Referenced the JWT and OAuth Assertions RFCs.

-01

Updated references.

-00

• Created initial working group draft from draft-jones-oauth-token-exchange-01.

#### **Authors' Addresses**

#### Michael B. Jones

Microsoft

EMail: mbj@microsoft.com URI: http://self-issued.info/

#### **Anthony Nadalin**

Microsoft

EMail: tonynad@microsoft.com

#### **Brian Campbell**

Ping Identity

EMail: brian.d.campbell@gmail.com

#### John Bradley

Ping Identity

EMail: ve7jtb@ve7jtb.com

#### **Chuck Mortimore**

Salesforce

EMail: cmortimore@salesforce.com