Authentication Failure Reporting using the Abuse Report Format
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Abstract

This memo registers an extension report type to ARF for use in reporting messages that fail one or more authentication checks performed on receipt of a message, with the option to include forensic information describing the specifics of the failure.

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Table of Contents

1. Introduction ............................................. 3
2. Definitions ........................................... 4
   2.1. Keywords ........................................ 4
   2.2. Base 64 .......................................... 4
3. Extension ARF Fields for Authentication Failure Reporting ........ 5
   3.1. New ARF Feedback Type .......................... 5
   3.2. New ARF Header Field Names ..................... 6
      3.2.1. Required For All Reports .................. 6
      3.2.2. Optional For All Reports .................. 6
      3.2.3. Required For DKIM Reports ................. 6
      3.2.4. Optional For DKIM Reports ................. 7
      3.2.5. Required For ADSP Reports ................. 7
      3.2.6. Required For SPF Reports ................. 7
   3.3. Authentication Failure Types ..................... 7
4. Syntax For Added ARF Header Fields .......................... 9
5. IANA Considerations ..................................... 10
   5.1. Updates to ARF Feedback Types ................... 10
   5.2. Updates to ARF Header Field Names ............... 10
6. Security Considerations ................................ 12
   6.1. Inherited Considerations ......................... 12
   6.2. Forgeries ........................................ 12
   6.3. Automatic Generation ............................. 12
   6.4. Envelope Sender Selection ....................... 13
   6.5. Reporting Multiple Incidents .................... 13
   6.6. Redaction of Data in DKIM Reports ............... 13
7. References .............................................. 14
   7.1. Normative References ............................. 14
   7.2. Informative References ........................... 15
Appendix A. Acknowledgements ................................ 16
Appendix B. Example ....................................... 17
   B.1. Example Use of ARF Extension Headers ............. 17
Author’s Address .......................................... 19
1. Introduction

[ARF] defines a message format for sending reports of abuse in the messaging infrastructure, with an eye towards automating both the generation and consumption of those reports. There is now also a desire to extend the ARF format to include reporting of messages that fail to authenticate using known authentication methods, as these are sometimes evidence of abuse that can be detected and reported through automated means. The same mechanism can be used to convey forensic information about the specific reason the authentication method failed. Thus, this memo presents such extensions to the Abuse Reporting Format to allow for detailed reporting of message authentication failures.
2. Definitions

2.1. Keywords

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [KEYWORDS].

2.2. Base 64

base64 is defined in [MIME].

The values that are base64 encodings may contain FWS for formatting purposes as per the usual header field wrapping defined in [MAIL]. During decoding, any characters not in the base64 alphabet are ignored so that such line wrapping does not harm the value. The ABNF token "FWS" is defined in [DKIM].
3. Extension ARF Fields for Authentication Failure Reporting

The current report format defined in [ARF] lacks some specific features required to do effective sender authentication reporting. This section defines extensions to ARF to accommodate this requirement.

3.1. New ARF Feedback Type

A new feedback type of "auth-failure" is defined as an extension to Section 8.2 of [ARF]. See Section 3.3 for details.

A message that uses this feedback type has the following modified header field requirements for the second (machine-parseable) [MIME] part of the report:

Authentication-Results: MUST appear exactly once. It MUST be formatted according to [AUTH-RESULTS], and MUST reflect only a single authentication failure. To report multiple failures for a single message, multiple reports MUST be generated. This indicates to the receiver the failure that generated the report.

Original-Envelope-Id: As specified in [ARF]. This field SHOULD be included exactly once if available to the entity generating the report.

Original-Mail-From: As specified in [ARF]. This field SHOULD be included exactly once for SPF, or for other methods that evaluate authentication during the SMTP phase.

Source-IP: As specified in [ARF]. This field SHOULD be included exactly once for SPF, or for other methods that evaluate authentication during the SMTP phase.

Reported-Domain: As specified in [ARF]. This field MUST appear at least once.

Delivery-Result: As specified in Section 3.2.1. This field is OPTIONAL, but MUST NOT appear more than once. If present, it SHOULD indicate the outcome of the message in some meaningful way, but might be redacted to 'other' for local policy reasons.

The third MIME part of the message is either of type "message/rfc822" (as defined in [MIME-TYPES]) or "text/rfc822-headers" (as defined in [REPORT]) and contains a copy of the entire header block from the original message. This part MUST be included (contrary to [REPORT]).

For privacy reasons, report generators might need to redact portions
of a reported message such as the end user whose complaint action resulted in the report. See [I-D.IETF-MARF-REDACTION] for a discussion of this.

3.2. New ARF Header Field Names

The following new ARF field names are defined as extensions to Section 3.1 of [ARF].

3.2.1. Required For All Reports

Auth-Failure: Indicates the type of authentication failure that is being reported. The list of valid values is enumerated in Section 3.3.

3.2.2. Optional For All Reports

Delivery-Result: The final message disposition that was enacted by the ADMD generating the report and MUST NOT appear more than once. Possible values are:

- delivered: The message was delivered (not specific as to where).
- spam: The message was delivered to the recipient’s spam folder (or equivalent).
- policy: The message was not delivered to the intended inbox due to authentication failure. The specific action taken is not specified.
- reject: The message was rejected.
- other: The message had a final disposition not covered by one of the above values.

3.2.3. Required For DKIM Reports

DKIM-Domain: The domain that signed the message, taken from the "d=" tag of the signature.

DKIM-Identity: The identity of the signature that failed verification, taken from the "i=" tag of the signature.
DKIM-Selector: The selector of the signature that failed verification, taken from the "s=" tag of the signature.

3.2.4. Optional For DKIM Reports

DKIM-Canonicalized-Header: A base64 encoding of the canonicalized header of the message as generated by the verifier.

DKIM-Canonicalized-Body: A base64 encoding of the canonicalized body of the message as generated by the verifier. The encoded content MUST be limited to those bytes that contribute to the DKIM body hash (i.e., the value of the "l=" tag; see Section 3.7 of [DKIM]). If DKIM-Canonicalized-Header and DKIM-Canonicalized-Body encode redacted data, they MUST NOT be included. Otherwise, they SHOULD be included. The data presented there have to be exactly the canonicalized header and body as defined by [DKIM] and computed at the verifier. This is because these fields are intended to aid in identifying message alterations that invalidate DKIM signatures in transit. Including redacted data in them renders the data unusable. (See also Section 5 and Section 7.6 for further discussion.)

3.2.5. Required For ADSP Reports

DKIM-ADSP-DNS: Includes the ADSP record discovered and applied by the entity generating this report.

3.2.6. Required For SPF Reports

SPF-DNS MUST appear once for every SPF record used to obtain the SPF result.

3.3. Authentication Failure Types

The list of defined authentication failure types, used in the "Auth-Failure:" header field (defined above), is as follows:

adsp: The message did not conform to the sender’s published [ADSP] signing practises. The DKIM-ADSP-DNS field MUST be included in the report.

bodyhash: The body hash in the signature and the body hash computed by the verifier did not match. The DKIM-Canonicalized-Body field SHOULD be included in the report (see Section 3.2.4).
revoked: The DKIM key referenced by the signature on the message has been revoked. The DKIM-Domain and DKIM-Selector fields MUST be included in the report.

signature: The DKIM signature on the message did not successfully verify against the header hash and public key. The DKIM-Domain and DKIM-Selector fields MUST be included in the report, and the DKIM-Canonicalized-Header field SHOULD be included in the report (see Section 3.2.4).

spf: The evaluation of the sending domain’s SPF record produced a "fail", "softfail", "temprerror" or "permerror" result.

Supplementary data MAY be included in the form of [MAIL]-compliant comments. For example, "Auth-Failure: adsp" could be augmented by a comment to indicate that the failed message was rejected because it was not signed when it should have been. See Appendix B for an example.
4. Syntax For Added ARF Header Fields

The ABNF definitions for the new fields are as follows:

auth-failure = "Auth-Failure:" [CFWS] token [CFWS] CRLF
    ; "token" must be a registered authentication failure type
    ; as specified elsewhere in this memo
    ; "token" is imported from [MIME]
    ; "CFWS" is imported from [MAIL]

delivery-result = "Delivery-Result:" [CFWS]
    ( "delivered" / "spam" / "policy" / "reject" / "other" ) [CFWS] CRLF

dkim-header = "DKIM-Canonicalized-Header:" [CFWS]
    base64string CRLF
    ; "base64string" is imported from [DKIM]

dkim-sig-domain = "DKIM-Domain:" [CFWS] dkim-domain [CFWS] CRLF
    ; "dkim-domain" is imported from [DKIM]

dkim-identity = "DKIM-Identity:" [CFWS] [ local-part ] "@"
    domain-name [CFWS] CRLF
    ; "local-part" is imported from [MAIL]

dkim-selector = "DKIM-Selector:" [CFWS] token [CFWS] CRLF

dkim-adsp-dns = "DKIM-ADSP-DNS:" [CFWS]
    quoted-string [CFWS] CRLF
    ; "quoted-string" is imported from [MAIL]

dkim-body = "DKIM-Canonicalized-Body:" [CFWS]
    base64string CRLF

dkim-selector-dns = "DKIM-Selector-DNS:" [CFWS]
    quoted-string [CFWS] CRLF

spf-dns = "SPF-DNS:" : { "txt" / "spf" } [FWS] ":" [FWS]
    domain [FWS] ":" [FWS] quoted-string
5. IANA Considerations

As required by [IANA], this section contains registry information for the new tag, and the extension to [ARF].

5.1. Updates to ARF Feedback Types

The following feedback type is added to the Feedback Report Feedback Type Registry:

 Feedback Type: auth-failure  
 Description: sender authentication failure report  
 Registration: (this document)

5.2. Updates to ARF Header Field Names

The following headers are added to the Feedback Report Header Names Registry:

 Field Name: Auth-Failure  
 Description: Type of authentication failure  
 Multiple Appearances: No  
 Related "Feedback-Type": auth-failure

 Field Name: Delivery-Result  
 Description: Final disposition of the subject message  
 Multiple Appearances: No  
 Related "Feedback-Type": auth-failure

 Field Name: DKIM-ADSP-DNS  
 Description: Retrieved DKIM ADSP record  
 Multiple Appearances: No  
 Related "Feedback-Type": auth-failure

 Field Name: DKIM-Canonicalized-Body  
 Description: Canonicalized body, per DKIM  
 Multiple Appearances: No  
 Related "Feedback-Type": auth-failure

 Field Name: DKIM-Canonicalized-Header  
 Description: Canonicalized header, per DKIM  
 Multiple Appearances: No  
 Related "Feedback-Type": auth-failure
Field Name: DKIM-Domain
Description: DKIM signing domain from "d=" tag
Multiple Appearances: No
Related "Feedback-Type": auth-failure

Field Name: DKIM-Identity
Description: Identity from DKIM signature
Multiple Appearances: No
Related "Feedback-Type": auth-failure

Field Name: DKIM-Selector
Description: Selector from DKIM signature
Multiple Appearances: No
Related "Feedback-Type": auth-failure

Field Name: DKIM-Selector-DNS
Description: Retrieved DKIM key record
Multiple Appearances: No
Related "Feedback-Type": auth-failure

Field Name: SPF-DNS
Description: Retrieved SPF record
Multiple Appearances: No
Related "Feedback-Type": auth-failure
6. Security Considerations

Security issues with respect to these reports are similar to those found in [DSN].

6.1. Inherited Considerations

Implementers are advised to consider the Security Considerations sections of [DKIM], [ADSP] [SPF] and [ARF].

6.2. Forgeries

These reports may be forged as easily as ordinary Internet electronic mail. User agents and automatic mail handling facilities (such as mail distribution list exploders) that wish to make automatic use of DSNs of any kind should take appropriate precautions to minimize the potential damage from denial-of-service attacks.

Security threats related to forged DSNs include the sending of:

a. A falsified authentication failure notification when the message was in fact delivered to the indicated recipient;

b. Falsified signature information, such as selector, domain, etc.

Perhaps the simplest means of mitigating this threat is to assert that these reports should themselves be signed with something like DKIM. On the other hand, if there’s a problem with the DKIM infrastructure at the verifier, signing DKIM failure reports may produce reports that aren’t trusted or even accepted by their intended recipients.

6.3. Automatic Generation

Automatic generation of these reports by verifying agents can cause a denial-of-service attack when a large volume of e-mail is sent that causes sender authentication failures for whatever reason.

Limiting the rate of generation of these messages may be appropriate but threatens to inhibit the distribution of important and possibly time-sensitive information.

In general ARF feedback loop terms, it is suggested that report generators only create these (or any) ARF reports after an out-of-band arrangement has been made between two parties. This mechanism then becomes a way to adjust parameters of an authorized abuse report feedback loop that is configured and activated by private agreement rather than starting to send them automatically based solely on
discovered data in the DNS.

6.4. Envelope Sender Selection

In the case of transmitted reports in the form of a new message, it is necessary to consider the construction and transmission of the message so as to avoid amplification attacks, deliberate or otherwise. See Section 5 of [ARF] for further information.

6.5. Reporting Multiple Incidents

If it is known that a particular host generates abuse reports upon certain incidents, an attacker could forge a high volume of messages that will trigger such a report. The recipient of the report could then be inundated with reports. This could easily be extended to a distributed denial-of-service attack by finding a number of report-generating servers.

The incident count referenced in [ARF] provides a limited form of mitigation. The host generating reports may elect to send reports only periodically, with each report representing a number of identical or near-identical incidents. One might even do something inverse-exponentially, sending reports for each of the first ten incidents, then every tenth incident up to 100, then every 100th incident up to 1000, etc. until some period of relative quiet after which the limitation resets.

The use of this for "near-identical" incidents in particular causes a degradation in reporting quality, however. If for example a large number of pieces of spam arrive from one attacker, a reporting agent may decide only to send a report about a fraction of those messages. While this averts a flood of reports to a system administrator, the precise details of each incident are similarly not sent.

6.6. Redaction of Data in DKIM Reports

This memo requires that the canonicalized header and body be returned without being subject to redaction when a DKIM failure is being reported. This is necessary to ensure that the returned canonicalized forms are useful for debugging as they must be compared to the equivalent form at the signer. If a message is altered in transit, and the returned data are also redacted, the redacted portion and the altered portion may overlap, rendering the comparison results meaningless. However, unredacted data can leak information the reporting entity considers to be private. It is for this reason the return of the canonicalized forms is not required.
7. References

7.1. Normative References


[SMTP] Klensin, J., "Simple Mail Transfer Protocol", RFC 5321,
October 2008.


7.2. Informative References

Appendix A. Acknowledgements

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Appendix B. Example

This section contains an example of the use of the extension defined by this memo.

B.1. Example Use of ARF Extension Headers

An ARF-formatted report using the proposed ARF extension fields:

Received: from mail.example.com (mail.example.com [192.0.2.1])
  by mx.example.net (8.14.4/8.14.4) with ESMTP id c6cs67945pbm;
  Sat, 8 Oct 2011 13:16:24 +0000 (GMT)
Return-Path: feedback@arf.mail.example.net
Authentication-Results: mx.example.net; spf=pass (example.net: domain of feedback@arf.mail.example.net designates 192.0.2.1 as permitted sender) smtp.mail=feedback@arf.mail.example.net
Message-ID: <433689.81121.example@mta.mail.example.net>
From: "Someisp Mail Antispam Feedback" <feedback@mail.example.net>
To: arf-failure@example.com
Subject: FW: You have a new bill from your bank
Date: 8 Oct 2011 13:16:24 +0000 (GMT)
MIME-Version: 1.0
Content-Type: multipart/report;
  boundary="------------Boundary-00=_3BCR4Y7kX93yP9uUPRhg";
  report-type=feedback-report
Content-Transfer-Encoding: 7bit

This is an authentication failure report for an email message received from anexample.example.com on 8 Oct 2011 13:16:24 +0000 (GMT). For more information about this format please see http://datatracker.ietf.org/doc/draft-ietf-marf-authfailure-report

Feedback-Type: auth-failure
User-Agent: Someisp!-Mail-Feedback/1.0
Version: 0.1
Original-Mail-From: anexample@anexample.example.com
Authentication-Results: mta1011.mail.tp2.example.net
Example 1: Example ARF report using these extensions

This example ARF message is making the following assertion:

- DKIM verification of the signature added within "example.com" failed

- The cause for the verification failure was a mismatch between the body contents observed at the verifier and the body hash contained in the signature.

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